# Edgar Filing: Dutton Todd - Form 4

| Dutton Todd<br>Form 4<br>January 17, 2019<br>FORM 4<br>UNITED STATES SECURITIES AND EXCHANGE COMMISSI<br>Washington, D.C. 20549<br>Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1920<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Se<br>30(h) of the Investment Company Act of 1940 |  |            |  |  |                | <b>NERSHIP OF</b><br>the Act of 1934,<br>f 1935 or Sectio  | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hou<br>response | irs per   |                  |           |  |
|--|--|------------|--|--|----------------|--|---|---|------------------|-----------|--|
| 1(b).  |  |            |  |  |                |  |   |   |                  |           |  |
| (Print or Type F   | Responses)   |            |  |  |                |  |   |   |                  |           |  |
| Dutton Todd Symbol   |  |            | Symbol   | . Issuer Name <b>and</b> Ticker or Trading<br>mbol<br>RANSATLANTIC PETROLEUM |                |  |   | 5. Relationship of Reporting Person(s) to Issuer  |                  |           |  |
|  |  | LTD. [TAT] |  |  |                | LUM  | (Check all applicable)  |   |                  |           |  |
|  |  |            |  | of Earliest Transaction<br>Day/Year)<br>2019                                 |                |  |   | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>President                |                  |           |  |
|  |  |            |  | endment, Date Original<br>nth/Day/Year)                                      |                |  |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |                  |           |  |
| ADDISON,   | TX 75001   |            |  |  |                |  |   | Form filed by M<br>Person   | More than One Re | eporting  |  |
| (City)   | (State)  | (Zip)      | Table  | e I - Non-D  | erivative S    | Secur  | ities Acc   | quired, Disposed o  | f, or Beneficial | lly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)   | Security (Month/Day/Year) Execution Date, if<br>(Instr. 3) any |            | 3. 4. Securities Acquired<br>Transaction(A) or Disposed of<br>Code (D)<br>(Instr. 8) (Instr. 3, 4 and 5)<br>(A)<br>or<br>Code V Amount (D) Price |  |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Indirect (I) Ov   |   |                  |           |  |
| Common   | 01/15/2019   |            |  | M  | Amount 2,718   | (D)<br>A   | Price<br>( <u>1)</u>  | 13,644  | D                |           |  |
| Shares<br>Common<br>Shares   | 01/15/2019   |            |  | F  | 807 <u>(2)</u> | D  | \$<br>1.04  | 12,837  | D                |           |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | e 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|---|---|--|---|--|--------------------|---|--|---------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 01/15/2019                              |   | М                                      | 2,642   | (3)  | (3)                | Common<br>Shares  | 2,642                                  | 9                               |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 01/15/2019                              |   | М                                      | 26  | <u>(4)</u>   | (4)                | Common<br>Shares  | 26                                     | 9                               |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 01/15/2019                              |   | М                                      | 50  | (5)  | (5)                | Common<br>Shares  | 50                                     |                                 |

# **Reporting Owners**

| Reporting Owner Name / Address                           | Relationships |           |           |       |  |  |  |
|--|---------------|-----------|-----------|-------|--|--|--|
| F  | Director      | 10% Owner | Officer   | Other |  |  |  |
| Dutton Todd<br>16803 DALLAS PARKWAY<br>ADDISON, TX 75001 |               |           | President |       |  |  |  |
| Signatures   |               |           |           |       |  |  |  |
| Tabitha Bailey   |               |           |           |       |  |  |  |

Tabitha Bailey, 01/17/2019 Attorney-in-Fact

\*\*Signature of Reporting Person

# Date **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit represents a contingent right to receive, upon vesting, one common share of TransAtlantic Petroleum Ltd. This (1) transaction represents the conversion upon vesting of restricted stock units into common shares of TransAtlantic Petroleum Ltd.
- (2) Represents common shares of TransAtlantic Petroleum Ltd. withheld for the payment of withholding taxes.
- The restricted stock units vest in three equal annual installments. The first installment vested on January 15, 2017, the second installment (3) vested on January 15, 2018, and the final installment vested on January 15, 2019.

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- (4) The restricted stock units vest in three equal annual installments. The first installment vested on January 15, 2018, the second installment vested on January 15, 2019, and the third installment will vest on January 15, 2020.
- (5) The restricted stock units vest in three equal annual installments. The first installment vested on January 15, 2019, the second installment will vest on January 15, 2020, and the third installment will vest on January 15, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.