Edgar Filing: ROGERS WILLIAM D - Form 4

ROGERS W	'ILLIAM D										
Form 4											
March 04, 20											
FORM	14	статрс	SECUE	TTIES A	ND EV(TTA	NCEC	OMMISSION	OMB AF	PROVAL	
		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287			
Check th if no long							Expires:	January 31, 2005			
subject to Section 1	b SIAIEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF									
Form 4 c									burden houi response	0.5	
Form 5 obligatio	-						-	e Act of 1934,			
may cont <i>See</i> Instr 1(b).	tinue. Section 17(•	Compan	· ·		1935 or Sectior 0	1		
(Print or Type l	Responses)										
1. Name and Address of Reporting Person <u>*</u> ROGERS WILLIAM D			2. Issuer Name and Ticker or Trading Symbol CENTERPOINT ENERGY INC [CNP]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
1111 LOUISIANA (Street)			(Month/Day/Year) 02/28/2019					XOfficer (give titleOther (specify below) below) EVP & Chief Financial Officer			
			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check			
	Applicable Line) _X_ Form filed by One Reporting Person										
HOUSTON	, TX 77002							Form filed by O Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative S	Securi	ities Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ned 3. 4. Securities Acqu n Date, if Transaction(A) or Disposed o Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)				l of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(11011-1)		
Common Stock	02/28/2019			А	50,292 (1)	А	\$0	147,619	D		
Common Stock	02/28/2019			F	16,982 (2)	D	\$ 30.14	130,637 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities (. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
ROGERS WILLIAM D 1111 LOUISIANA HOUSTON, TX 77002			EVP & Chief Financial Officer				
Signatures							
Vincent A. Mercaldi, Attorney-in-Fact		03/04/20	19				

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of performance shares awarded in 2016 under the Issuer's Long-term Incentive Plan.
- (2) Shares withheld for taxes upon vesting of performance shares.

Total includes (i) 12,531 time-based restricted stock units ("RSUs") previously awarded under the Issuer's Long-term Incentive Plan (the "Plan") and vesting in February 2020, (ii) 13,356 RSUs previously awarded under the Plan and vesting in February 2021, and (iii) 11,439 RSUs previously awarded under the Plan and vesting in February 2022. The award to vest in 2020 shall vest if the Reporting Person

(3) continues to be an employee of Issuer from grant date through vesting date and on a pro-rata basis in the event of his earlier retirement, disability or death. The above awards to vest in 2021 and 2022 shall vest (i) if he continues to be an employee of Issuer from grant date through vesting date and (ii) in the event of his disability or death. Also, the above awards to vest in 2021 and 2022 shall vest on a pro-rata basis in the event of his retirement unless he satisfies various conditions for full vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.