

CARLSON THOMAS J

Form 4

November 28, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CARLSON THOMAS J

2. Issuer Name **and** Ticker or Trading  
Symbol  
GREAT SOUTHERN BANCORP,  
INC. [GSBC]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

CARE OF GREAT SOUTHERN  
BANK, 218 S. GLENSTONE AVE

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/28/2018

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

SPRINGFIELD, MO 65802

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common stock					100	D	
Common stock	10/16/2018		J(1)	V	84	A	\$ 51.5078
					13,555	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F
				Code	V	(A)	(D)	
Option to purchase	\$ 55	11/28/2018		A	500	11/28/2020	11/28/2028	Common stock 500
Option to purchase	\$ 55	11/28/2018		A	500	11/28/2021	11/28/2028	Common stock 500
Option to purchase	\$ 55	11/28/2018		A	500	11/28/2022	11/28/2028	Common stock 500
Option to purchase	\$ 55	11/28/2018		A	500	11/28/2023	11/28/2028	Common stock 500
Option to purchase	\$ 32.59					(2)	10/15/2024	Common stock 5,000
Option to purchase	\$ 41.3					(3)	10/24/2026	Common Stock 2,000
Option to purchase	\$ 52.2					(4)	11/15/2027	Common stock 2,000

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

CARLSON THOMAS J  
CARE OF GREAT SOUTHERN BANK  
218 S. GLENSTONE AVE  
SPRINGFIELD, MO 65802

## Signatures

Matt Snyder, Attorney-in-fact for Thomas J. Carlson

11/28/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DRIP acquisition exempt from Section 16 reporting being reported voluntarily.
- (2) 1,250 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019
- (3) 500 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021
- (4) 500 shares on 11/15/2019, 11/15/2020, 11/15/2021 and 11/15/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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