

ROCKWELL AUTOMATION INC  
Form 4  
December 04, 2006

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EISENBROWN STEVEN A

2. Issuer Name and Ticker or Trading Symbol  
ROCKWELL AUTOMATION INC [ROK]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
1201 SOUTH SECOND STREET  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/30/2006

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
Sr. Vice President

MILWAUKEE, WI 53204

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock					5,251.24	I	By Savings Plan <sup>(1)</sup>
Common Stock	11/30/2006		M		\$ 20.349		
Common Stock	11/30/2006		M		\$ 15.5		
Common Stock	11/30/2006		S		\$ 64.02		
Common Stock	11/30/2006		S		\$ 63.99		

## Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

Common Stock	11/30/2006	S	400	D	\$ 63.98	46,990	D
Common Stock	11/30/2006	S	1,200	D	\$ 63.97	45,790	D
Common Stock	11/30/2006	S	100	D	\$ 63.96	45,690	D
Common Stock	11/30/2006	S	100	D	\$ 63.9	45,590	D
Common Stock	11/30/2006	S	400	D	\$ 63.88	45,190	D
Common Stock	11/30/2006	S	100	D	\$ 63.87	45,090	D
Common Stock	11/30/2006	S	2,300	D	\$ 63.85	42,790	D
Common Stock	11/30/2006	S	200	D	\$ 63.84	42,590	D
Common Stock	11/30/2006	S	400	D	\$ 63.83	42,190	D
Common Stock	11/30/2006	S	300	D	\$ 63.79	41,890	D
Common Stock	11/30/2006	S	500	D	\$ 63.78	41,390	D
Common Stock	11/30/2006	S	1,600	D	\$ 63.77	39,790	D
Common Stock	11/30/2006	S	500	D	\$ 63.76	39,290	D
Common Stock	11/30/2006	S	1,100	D	\$ 63.75	38,190	D
Common Stock	11/30/2006	S	200	D	\$ 63.74	37,990	D
Common Stock	11/30/2006	S	700	D	\$ 63.73	37,290	D
Common Stock	11/30/2006	S	3,400	D	\$ 63.72	33,890	D
Common Stock	11/30/2006	S	2,100	D	\$ 63.71	31,790	D
Common Stock	11/30/2006	S	500	D	\$ 63.7	31,290	D
Common Stock	11/30/2006	S	200	D	\$ 63.66	31,090	D
	11/30/2006	S	500	D	\$ 63.62	30,590	D

Common  
Stock

Common Stock 11/30/2006 S 800 D \$ 63.59 29,790 D

Common Stock 11/30/2006 S 2,489  
(2) D \$ 63.64 27,301 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 20.349	11/30/2006		M	25,791	10/04/2000 10/04/2009	Common Stock	25,791	
Employee Stock Option (Right to Buy)	\$ 15.5	11/30/2006		M	6,400	10/07/2003 10/07/2012	Common Stock	6,400	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
EISENBROWN STEVEN A 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204			Sr. Vice President	

## Signatures

Karen A. Balistreri, Attorney-in-Fact for Steven A.  
Eisenbrown

12/04/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares represented by Company stock fund units acquired under the Company's Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 10/31/2006.
- (2) 3,400 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.

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