Edgar Filing: WERTZ CARL C - Form 4

WEDTZ CADL C

| WERTZ CAR | RL C | | | | | | | | | | |
|--|--------------------|----------------------|--------------------------------------|--|--------------|-----------|---|--|-----------------|---------------------------------------|--|
| Form 4 | | | | | | | | | | | |
| May 26, 2010 |) | | | | | | | | | | |
| FORM | Δ | | | | | | | | | PPROVAL | |
| CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | OMB | 0005 0007 | | | |
| | , | | Was | hington, | D.C. 205 | 549 | | | Number: | 3235-0287 | |
| Check this if no long | | | | | | | | | Expires: | January 31, | |
| subject to | STATEN | IENT O | F CHAN | GES IN BENEFICIAL OW | | | | NERSHIP OF | | Estimated average burden hours per | |
| - | Section 16. | | | | ITIES | | | | | | |
| Form 4 or | | | | | | | | | response | • | |
| Form 5 | | | | • • | | | • | ge Act of 1934, | | | |
| obligation may conti | | | | • | • | • • | | of 1935 or Sectio | n | | |
| See Instru | | 30(h) | of the Inv | vestment | Company | y Act | of 19 | 40 | | | |
| 1(b). | | | | | | | | | | | |
| | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| | | _ * | | | | | | | | | |
| | | | er Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| WERTZ CA | RLU | | Symbol | | | | | 155001 | | | |
| | | | DIODES | S INC /DI | EL/ [DIO | D] | | (Chec | k all applicabl | e) | |
| (Last) | (First) (M | /liddle) | 3. Date of | Earliest Tra | insaction | | | | | | |
| | | | (Month/D | ay/Year) | | | | Director | | 6 Owner | |
| | | | 05/24/20 | 05/24/2010 | | | | Officer (give title Other (specify below) | | | |
| PARKWAY | , SUITE 850 | | | | | | | · · | ce/Investor Rel | ations | |
| | | | 4 If Ame | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check | | | |
| | | | | | | | | | | | |
| | | | T fied (Moli | ui/Day/1Cai) | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | |
| DALLAS, T | X 75248 | | | | | | | Form filed by M | Aore than One R | | |
| | 11,0210 | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | ecuri | ties Ac | quired, Disposed of | f, or Beneficia | lly Owned | |
| 1.Title of | 2. Transaction Dat | e 2A. Dee | emed | 3. | 4. Securi | ties | | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security | (Month/Day/Year) | Executi | on Date, if | Transactio | onAcquired | (A) o | r | Securities | Form: Direct | Indirect | |
| (Instr. 3) any | | Code Disposed of (D) | | | Beneficially | (D) or | Beneficial | | | | |
| | | (Month | /Day/Year) | (Instr. 8) | (Instr. 3, | 4 and | 5) | | Indirect (I) | Ownership | |
| | | | | | | | | Following Reported | (Instr. 4) | (Instr. 4) | |
| | | | | | | (A) | | Transaction(s) | | | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | |
| Diodes Inc. | | | | Coue v | | (D) | Thee | | | | |
| Common | 05/24/2010 | | | А | 2,000 | А | \$0 | 12,470 | D | | |
| Stock (3) | 0312-12010 | | | 1 | (4) | 11 | ψυ | 12,770 | D | | |
| Stock <u>·</u> | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Common Stock (Right to Buy) | \$ 19.28 | 05/24/2010 | | A | 4,500 | 05/24/2011 <u>(2)</u> | 05/24/2020 | Diodes Inc. Common Stock | 4,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-------------------------------|-------|--|--|
| I O O O O O O O O O O O O O O O O O O O | Director | 10% Owner | Officer | Other | | |
| WERTZ CARL C 15660 DALLAS PARKWAY SUITE 850 DALLAS, TX 75248 | | | VP Finance/Investor Relations | | | |
| Signatures | | | | | | |
| Richard D. White as Power of . Wertz | Attorney | for Carl | 05/26/2010 | | | |

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Granted under Rule 16b-3 Plan.
- (2) Non-qualified Stock Options exercisable in four equal annual installments beginning 05/24/2011.
- (3) Restricted Stock Units vest in four equal annual installments beginning 05/24/2011.
- (4) Granted under Rule 16b-3 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.