Edgar Filing: LIU JOSEPH - Form 4

| LIU JOSEPH | | | | | | | | | | | |
|--|--|--------------|---|------------------------|------------|------------------------------|-------------------|--|--|---|--|
| Form 4 May 27, 2011 | | | | | | | | | | | |
| FORM | 4 | | | | | | | | OMB AF | PROVAL | |
| | UNITE | D STATE | | FIES AN ington, D | | | GE COM | MMISSION | OMB Number: | 3235-0287 | |
| Check this t if no longer | | | | | | ~~ | | | Expires: | January 31, 2005 | |
| subject to Section 16. Form 4 or | STATEMENT OF CHANGES IN BENEFICIAL OWNERSH | | | | | | | | Estimated a burden hour response | verage | |
| Form 5 obligations may continu <i>See</i> Instruct 1(b). | Section | 17(a) of the | Section 16(Public Util) of the Inve | ity Holdir | ng Comp | pany . | Act of 19 | ct of 1934, 35 or Section | l | | |
| (Print or Type Res | sponses) | | | | | | | | | | |
| LIU JOSEPH Symbol | | | | 8 | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | | | un applicable) | | | |
| 4949 HEDGECOXE ROAD, SUITE (Month/Day 200 | | | | 1 - | | | | Director 10% Owner Officer (give title Other (specify below) below) SVP, Operations | | | |
| | (Street) | | 4. If Amend Filed(Month | | Original | | Ар | Individual or Joi plicable Line) _ Form filed by Or | ne Reporting Per | son | |
| PLANO, TX 7 | 75024 | | | | | | Per | Form filed by Mo son | ore than One Rej | porting | |
| (City) | (State) | (Zip) | Table l | - Non-Der | ivative Se | ecuriti | es Acquire | ed, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transactio (Month/Day/ | any | Deemed ution Date, if th/Day/Year) | | (Instr. 3, | ispose 4 and (A) or | d of (D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Diodes Incorporated Common Stock | 05/25/2011 | l | | Code V $S_{(1)}^{(1)}$ | Amount | (D) D | Price \$ 28.56 | 130,580 | D | | |
| Diodes Incorporated Common Stock | 05/25/2011 | l | | S <u>(2)</u> | 167 | D | \$ 28.561 | 130,413 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

1

Edgar Filing: LIU JOSEPH - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | | 4. | 5. | 6. Date Exer | | 7. Title a | | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|-------------------------------|--------------|------------|-------------|--------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | | Expiration D | | Amount of | | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underlyir | ng | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securities | S | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. 3 a | and 4) | | Owne |
| | Security | | | | Acquired | | | | Í | | Follo |
| | 5 | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | (msu |
| | | | | | (insu: 5, 4, and 5) | | | | | | |
| | | | | | 4, and 3) | | | | | | |
| | | | | | | | | An | nount | | |
| | | | | | | | | or | | | |
| | | | | | | Date | Expiration | Title Nu | ımber | | |
| | | | | | | Exercisable | Date | of | | | |
| | | | | Code V | (A) (D) | | | | ares | | |
| | | | | | (\mathbf{n}) (\mathbf{D}) | | | 511 | ares | | |
| | | | | | | | | | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|-----------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| LIU JOSEPH 4949 HEDGECOXE ROAD SUITE 200 PLANO, TX 75024 | | | SVP, Operations | | | | | |
| Signatures | | | | | | | | |
| Richard D. White as Power of <i>J</i> Joseph Liu | Attorney | for | 05/27/2011 | | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vested Restricted Stock Unit shares automatically sold to cover income tax for 5/24/2010 award.
- (2) Vested Restricted Stock Unit shares automatically sold to cover income tax for 5/24/2010 award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.