Edgar Filing: PERMA FIX ENVIRONMENTAL SERVICES INC - Form 4

PERMA FIX ENVIRONMENTAL SERVICES INC

Form 4 July 01, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

OMB APPROVAL

3235-0287

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * LAHAV JACK

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

PERMA FIX ENVIRONMENTAL

3. Date of Earliest Transaction

SERVICES INC [pesi]

(Check all applicable)

(Middle) (Month/Day/Year)

X_ Director 10% Owner Officer (give title Other (specify

below)

8302 DUNWOODY PLACE, SUITE 07/01/2013

250

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ATLANTA, GA 30350

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned **Following**

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

Code V Amount (D) Price

(Instr. 3 and 4)

Common Stock

(Instr. 3)

07/01/2013

35,185 A

911,203

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. Price Deriva Securit (Instr.

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 88 II S (|
|---|---|---|---|--|---|---------------------|--------------------|---|-------------------------------------|-----------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option | \$ 1.99 | | | | | <u>(1)</u> | 07/29/2013 | Common Stock | 12,000 | |
| Stock Option | \$ 1.7 | | | | | (2) | 07/28/2014 | Common Stock | 12,000 | |
| Stock Option | \$ 1.84 | | | | | (3) | 07/27/2015 | Common Stock | 12,000 | |
| Stock Option | \$ 2.15 | | | | | <u>(4)</u> | 07/27/2016 | Common Stock | 12,000 | |
| Stock Option | \$ 2.95 | | | | | (5) | 08/02/2017 | Common Stock | 12,000 | |
| Stock Option | \$ 2.34 | | | | | <u>(6)</u> | 08/05/2018 | Common Stock | 12,000 | |
| Stock Option | \$ 2.67 | | | | | <u>(7)</u> | 07/29/2019 | Common Stock | 12,000 | |
| Stock Option | \$ 1.68 | | | | | (8) | 09/29/2020 | Common Stock | 12,000 | |
| Stock Option | \$ 1.41 | | | | | <u>(9)</u> | 08/24/2021 | Common Stock | 12,000 | |
| Stock Option | \$ 1.1 | | | | | (10) | 09/13/2022 | Common Stock | 12,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| Troporting O Water Tumo / Trum 1000 | Director | 10% Owner | Officer | Other | | |
| LAHAV JACK 8302 DUNWOODY PLACE SUITE 250 ATLANTA, GA 30350 | X | | | | | |

Reporting Owners 2

Signatures

/s/Jack Lahav 07/01/2013

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted 07/29/03 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (2) Stock Option granted 07/28/04 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (3) Stock Option granted 07/27/05 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (4) Stock Option granted 07/27/06 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (5) Stock Option granted 08/02/07 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (6) Stock Option granted 08/05/08 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (7) Stock Option granted 07/29/09 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (8) Stock Option granted 09/29/10 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (9) Stock Option granted 08/24/11 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.
- (10) Stock Option granted 09/13/12 under the Company's 2003 Outside Directors Stock Plan. The Options verst fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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