Edgar Filing: PEARSON DAVID T. - Form 4

PEARSON DAVLB T. Form 4 September 21, 2017 FORM 4 FORM 4 Check this box if no longer subject to Section 16. Form 4 or bilgations may continue. See Instruction 1(b). Check this box if no longer subject to Section 16. Form 4 or bilgations ago(h) of the Public Utility Holding Company Act of 1934, 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1934, 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1934, 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1934, 30(h) of the Investment Company Act of 1940, 1(b). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1934, 30(h) of the Investment Company Act of 1940, 1(b). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1940, 1(b). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1940, 1(c). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1940, 1(c). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1940, 1(c). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1940, 1(c). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1940, 1(c). Check this box if no longer Section 16(c). Check this box if no longer Section 17(c) the Public Utility Holding Company Act of 1940, Section 17(c) the Public Utility Holding Check this box if no longer Section 17(c) the Public Utility Holding Check the Public											
(Print or Type Responses)											
PEARSON DAVID T. Syr				r Name and GE HOLI			-	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. Da (Mon			(Month/E	. Date of Earliest Transaction Month/Day/Year) 9/19/2018				(Check all applicable) <u>X</u> Officer (give title <u>10%</u> Owner <u>V</u> Officer (give title <u>below</u>) Chief Financial Officer			
				nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	1 (150)										
1.Title of Security (Instr. 3)		ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4)		
Common Stock	09/19/2018			Code V S	Amount 52,999 (1)	or (D) D	Price \$ 13.56 (2)	(Instr. 3 and 4) 255,009	D		
Common Stock	09/20/2018			S	53,000 (1)	D	\$ 13.47 <u>(3)</u>	202,009	D		
Common Stock	09/21/2018			S	53,001 (1)	D	\$ 13.4 (4)	149,008	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	;	ate	7. Title a Amount o Underlyin Securitie: (Instr. 3 a	of ing es	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
PEARSON DAVID T. C/O VONAGE HOLDINGS CORP. 23 MAIN STREET HOLMDEL, NJ 07733			Chief Financial Officer					
Signatures								
/s/ Randy K. Rutherford, Attorney-in- Pearson	-fact for I	David T.	09/21/2018					

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Sale was made pursuant to a trading plan under Rule 10b5-1 under the Securities and Exchange Act of 1934, as amended, which was adopted on March 9, 2018. The plan will expire by its terms on May 23, 2019 and was entered into for estate planning and diversification purposes.

The price reported in Column 4 is a weighted average price. The Common Stock was sold at prices ranging from \$13.26 to \$13.98. Upon request, the Reporting Person will provide to the Securities and Exchange Commission Staff, the Issuer and any security holder of the Issuer full information regarding the number of shares of Common Stock sold at each separate price within the range.

(3) The price reported in Column 4 is a weighted average price. The Common Stock was sold at prices ranging from \$13.16 to
 \$13.71. Upon request, the Reporting Person will provide to the Securities and Exchange Commission Staff, the Issuer and any security holder of the Issuer full information regarding the number of shares of Common Stock sold at each separate price within the range.

(2)

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The price reported in Column 4 is a weighted average price. The Common Stock was sold at prices ranging from \$13.23 to \$13.69. Upon request, the Reporting Person will provide to the Securities and Exchange Commission Staff, the Issuer and any

(4) \$13.09. Opon request, the Reporting Person will provide to the Securities and Exchange Commission Stall, the Issuer and any security holder of the Issuer full information regarding the number of shares of Common Stock sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.