## Edgar Filing: Reese Nathan R - Form 4/A

Reese Nathan Form 4/A											
February 20, 2									OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMIS Washington, D.C. 20549						COMMISSION		OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5			
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation: may contin <i>See</i> Instruct 1(b).	er <b>STAT</b> 5. Filed p s Section 1										
(Print or Type Ro	esponses)										
1. Name and Address of Reporting Person <u>*</u> Reese Nathan R			2. Issuer Name <b>and</b> Ticker or Trading Symbol NEW YORK MORTGAGE TRUST INC [NYMT]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) C/O NEW Y TRUST, INC AVENUE			3. Date of (Month/Da 02/13/20	-	ansaction			Director X Officer (give below) Managing		o Owner er (specify retary	
	(Street)	(Street) 4. If Amer Filed(Mon 02/16/20			-			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
NEW YORK	K, NY 10016		02/10/20	,10				Form filed by M Person	fore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Dee Execution any (Month/Security)				4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock, par value \$0.01	02/13/2018 <u>(</u>	<u>()</u>		Code V	Amount 15,246	or (D)	Price \$ 0	Transaction(s) (Instr. 3 and 4) 79,757	D		
per share											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of	Number Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		7. Tit Amou Unde: Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
hepotong of the runner runness	Director	10% Owner	Officer	Other			
Reese Nathan R C/O NEW YORK MORTGAGE TRUST, INC. 275 MADISON AVENUE NEW YORK, NY 10016			Managing Director / Secretary				
Signatures							
/s/ Nathan R. 02/20/2018							

\*\*Signature of

Reese

Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person is amending the previously filed Form 4 of 02/16/2018 to correct the date of earliest transaction and transaction (1)date, which were incorrectly reported in the original Form 4 as 02/16/2018
- Represents shares of restricted stock issued pursuant to New York Mortgage Trust's 2017 Stock Incentive Plan., 1/3 of which will become (2) fully vested and non-forfeitable on each of the first, second and third anniversaries of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.