### Edgar Filing: Hartman Robert J. Jr. - Form 4

Hartman Ro	obert J. Jr.										
Form 4 September (	08 2017										
September 08, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
Check this box				SECURITIES AND EXCHANGE CO Washington, D.C. 20549					OMB Number:	3235-0287	
if no lor subject Section Form 4 Form 5 obligation	nger to 16. or Filed put	<ul> <li>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES</li> <li>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,</li> </ul>						Expires: January 31, 2005 Estimated average burden hours per response 0.5			
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).											
(Print or Type	Responses)										
Hartman Robert J. Jr. Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (	Middle)	3. Date of	of Earliest 7	Fransactior	1		(Check	an applicable	)	
(Month/ C/O STONERIDGE, INC., 39675 09/07/2 MACKENZIE DRIVE				n/Day/Year) /2017				Director 10% Owner X Officer (give title Other (specify below) below) Chief Accounting Officer			
				onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NOVI, MI 48377								Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	e Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution Date, if		3. 4. Securities Acquired (A Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Shares,				Code V	Amount	or (D)	Price \$	(Instr. 3 and 4)			
without par value	09/07/2017			S	7,358	D	16.5129 (1)	37,281	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year) e s		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Performance Shares	(2)				(2)	(2)	Common Shares, without par value	25,660	

## **Reporting Owners**

Reporting Owner Name / Address			Relationships			
	Director	10% Owner	Officer	Other		
Hartman Robert J. Jr. C/O STONERIDGE, INC. 39675 MACKENZIE DRIVE NOVI, MI 48377			Chief Accounting Officer			
Signatures						
/s/ Robert M. Loesch, by power	r of	09/	08/2017			

attorney

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in column 4 is a weighted average price. The Common Shares were sold in multiple transactions on 9-7-2017 at prices
(1) ranging from \$16.512/share to \$16.52/share. The Reporting Person undertakes to provide Stoneridge, Inc. and the SEC Staff, upon request, full information on the number of shares sold at each separate price within the range.

Each Performance Share (unit) was granted to the Reporting Person under the Company's Long-Term Incentive Plan and represents a contingent right to receive one Company Common Share. The Performance Shares were granted to the Reporting Person in 2015, 2016

(2) contingent right to receive one company common shale. The renormance shales were granted to the Reporting reison in 2015, 2016 and 2017 and shall be earned in some cases on continued employment until a date three years from the date of grant and in other cases contingent on the same three year employment condition and on Company performance over a three year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.