Nagle Alisa A Form 4 March 06, 2019

## FORM 4

#### **OMB APPROVAL OMB**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0287 Number: January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

0.5

response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Nagle Alisa A

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

STONERIDGE INC [SRI]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director

4458 NORTH MARYLAND

(Month/Day/Year) 03/04/2019

10% Owner Other (specify X\_ Officer (give title below)

6. Individual or Joint/Group Filing(Check

Chief Human Resources Officer

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SHOREWOOD, WI 53211

| (City)                                    | (State)                              | (Zip) Tabl                                                  | e I - Non-D                            | erivative :                                 | Secur  | ities Acqu  | ired, Disposed of                                                                                                  | , or Beneficiall                                         | y Owned                                                           |
|-------------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|---------------------------------------------|--------|-------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|
| 1.Title of<br>Security<br>(Instr. 3)      | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4) | sposed | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Shares,<br>without par<br>value | 03/04/2019                           |                                                             | M                                      | 17,824                                      | A      | <u>(1)</u>  | 17,824                                                                                                             | D                                                        |                                                                   |
| Common<br>Shares,<br>without par<br>value | 03/04/2019                           |                                                             | F                                      | 5,794                                       | D      | \$<br>30.04 | 12,030                                                                                                             | D                                                        |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

#### Edgar Filing: Nagle Alisa A - Form 4

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|--------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------|---------------------------------------------------------------|-------------------------------------|
|                                                     |                                                                       |                                      |                                                             | Code V                                 | (A) (D)                                                                                    | Date<br>Exercisable                                      | Expiration<br>Date | Title                                                         | Amount<br>or<br>Number<br>of Shares |
| Performance<br>Shares/Share<br>Units                | <u>(1)</u>                                                            | 03/04/2019                           |                                                             | M                                      | 17,824                                                                                     | <u>(1)</u>                                               | <u>(1)</u>         | Common<br>Shares                                              | 17,824                              |
| Performance<br>Shares/Share<br>Units                | (1)                                                                   | 03/04/2019                           |                                                             | D                                      | 3,631                                                                                      | (1)                                                      | (1)                | Common<br>Shares                                              | <u>(1)</u>                          |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Nagle Alisa A 4458 NORTH MARYLAND SHOREWOOD, WI 53211

Chief Human Resources Officer

### **Signatures**

/s/ Robert M. Loesch, by power of attorney

03/06/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In 2016 Reporting Person was granted time-based share units and performance shares pursuant to the Company's Long-Term Incentive
  (1) Plan and on March 4, 2019 17,824 common shares were earned and pursuant to the 2016 grant paid on a one-for-one basis in Company
  Common shares and 3,631 performance shares were not earned and were forfeited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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