

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-A

Guggenheim Credit Allocation Fund  
Form NSAR-A  
January 30, 2017

PAGE 1  
000 A000000 11/30/2016  
000 C000000 0001551949  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 Guggenheim Credit Allocation Fund  
001 B000000 811-22715  
001 C000000 3128270100  
002 A000000 227 WEST MONROE STREET  
002 B000000 CHICAGO  
002 C000000 IL  
002 D010000 60606  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 GUGGENHEIM FUNDS INVESTMENT ADVISORS, LLC  
008 B000001 A  
008 C000001 801-000000  
008 D010001 CHICAGO  
008 D020001 IL  
008 D030001 60606  
008 A000002 GUGGENHEIM PARTNERS INVESTMENT MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-000000  
008 D010002 SANTA MONICA  
008 D020002 CA  
008 D030002 90401  
010 A000001 MUFG Investor Services (US), LLC  
010 C010001 ROCKVILLE  
010 C020001 MD  
010 C030001 20850  
011 A000001 UBS SECURITIES LLC  
011 B000001 8-00000000  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10171  
011 A000002 WELLS FARGO SECURITIES LLC  
011 B000002 8-00000000  
011 C010002 CHARLOTTE  
011 C020002 NC  
011 C030002 28202  
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A.  
012 B000001 84-0000000  
012 C010001 COLLEGE STATION  
PAGE 2  
012 C020001 TX  
012 C030001 77842  
012 C040001 3170  
013 A000001 ERNST & YOUNG LLP  
013 B010001 MCLEAN  
013 B020001 VA

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-A

013 B030001 22102  
014 A000001 CHANNEL CAPITAL GROUP LLC  
014 B000001 8-53692  
014 A000002 SECURITY DISTRIBUTORS, INC.  
014 B000002 8-10781  
014 A000003 GUGGENHEIM INVESTOR SERVICES, LLC  
014 B000003 8-44742  
014 A000004 GUGGENHEIM SECURITIES, LLC  
014 B000004 8-49107  
014 A000005 SAMMONS SECURITIES COMPANY, LLC  
014 B000005 8-53482  
014 A000006 GUGGENHEIM FUNDS DISTRIBUTORS, LLC  
014 B000006 8-48842  
014 A000007 SAMMONS FINANCIAL NETWORK, LLC  
014 B000007 8-68905  
014 A000008 GUGGENHEIM ENERGY ADVISORS, LLC  
014 B000008 8-68983  
014 A000009 GUGGENHEIM LIQUID MARKETS, LLC  
014 B000009 8-69770  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 Stifel Nicolaus  
020 B000001 UNKNOWN  
020 C000001 2  
021 000000 4  
022 A000001 BNP PARIBAS  
022 B000001 UNKNOWN  
022 C000001 0  
022 D000001 72750  
022 A000002 RBC CAPITAL MARKETS  
022 B000002 UNKNOWN  
022 C000002 2121  
022 D000002 66502  
022 A000003 BANK OF AMERICA  
022 B000003 UNKNOWN  
022 C000003 9537  
022 D000003 53181  
022 A000004 CREDIT SUISSE SECURITIES  
PAGE 3  
022 B000004 13-1898818  
022 C000004 7542  
022 D000004 44382  
022 A000005 BARCLAYS  
022 B000005 UNKNOWN  
022 C000005 1745  
022 D000005 46427  
022 A000006 DEUTSCHE BANK  
022 B000006 UNKNOWN  
022 C000006 2302  
022 D000006 34159  
022 A000007 CITI GROUP  
022 B000007 UNKNOWN  
022 C000007 1562  
022 D000007 10844  
022 A000008 GOLDMAN SACHS

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-A

022 B000008 13-510880  
 022 C000008 9809  
 022 D000008 743  
 022 A000009 RYDEX INTERNAL CROSS  
 022 B000009 UNKNOWN  
 022 C000009 404  
 022 D000009 9941  
 022 A000010 JPMORGAN CHASE  
 022 B000010 UNKNOWN  
 022 C000010 5774  
 022 D000010 4092  
 023 C000000 70732  
 023 D000000 362112  
 024 000000 Y  
 025 A000001 CITIGROUP, INC.  
 025 B000001 N/A  
 025 C000001 D  
 025 D000001 1090  
 025 A000002 JEFFERIES FINANCE, LLC  
 025 B000002 95-2622900  
 025 C000002 D  
 025 D000002 3123  
 025 A000003 BANK OF AMERICA  
 025 B000003 N/A  
 025 C000003 D  
 025 D000003 1144  
 026 A000000 N  
 026 B000000 Y  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 PAGE 4  
 027 000000 N  
 045 000000 Y  
 046 000000 N  
 047 000000 Y  
 048 000000 1.000  
 048 A010000 0  
 048 A020000 0.000  
 048 B010000 0  
 048 B020000 0.000  
 048 C010000 0  
 048 C020000 0.000  
 048 D010000 0  
 048 D020000 0.000  
 048 E010000 0  
 048 E020000 0.000  
 048 F010000 0  
 048 F020000 0.000  
 048 G010000 0  
 048 G020000 0.000  
 048 H010000 0  
 048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 0

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-A

048	K020000	0.000	
049	000000	N	
050	000000	N	
051	000000	N	
052	000000	N	
053	A000000	N	
054	A000000	Y	
054	B000000	Y	
054	C000000	N	
054	D000000	N	
054	E000000	N	
054	F000000	N	
054	G000000	N	
054	H000000	Y	
054	I000000	N	
054	J000000	Y	
054	K000000	N	
054	L000000	N	
054	M000000	Y	
054	N000000	N	
054	O000000	N	
055	A000000	Y	
055	B000000	Y	
056	000000	N	
057	000000	N	
	PAGE	5	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	Y	
062	B000000	0.0	
062	C000000	0.0	
062	D000000	0.0	
062	E000000	0.0	
062	F000000	0.0	
062	G000000	0.0	
062	H000000	0.0	
062	I000000	0.0	
062	J000000	0.0	
062	K000000	0.0	
062	L000000	0.2	
062	M000000	0.0	
062	N000000	0.0	
062	O000000	0.0	
062	P000000	76.3	
062	Q000000	60.4	
062	R000000	7.0	
063	A000000	0	
063	B000000	6.5	
064	A000000	N	
064	B000000	N	
066	A000000	N	
067	000000	N	
068	A000000	N	
068	B000000	N	
069	000000	N	
070	A010000	Y	
070	A020000	Y	
070	B010000	Y	
070	B020000	N	

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-A

070	C010000	Y	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	Y	
070	I020000	N	
070	J010000	Y	
	PAGE	6	
070	J020000	Y	
070	K010000	Y	
070	K020000	N	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	N	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	Y	
070	P010000	Y	
070	P020000	Y	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		74025
071	B000000		54009
071	C000000		204497
071	D000000	26	
072	A000000	6	
072	B000000		7928
072	C000000		145
072	D000000		0
072	E000000		0
072	F000000		1030
072	G000000		28
072	H000000		0
072	I000000		10
072	J000000		11
072	K000000		0
072	L000000		20
072	M000000		38
072	N000000		12
072	O000000		0
072	P000000		405
072	Q000000		33
072	R000000		26
072	S000000		37
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		124
072	X000000		1774
072	Y000000		0

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-A

072 Z000000 6299  
 072AA000000 0  
 072BB000000 3623  
 072CC010000 13800  
 072CC020000 0

PAGE 7

072DD010000 7234  
 072DD020000 0  
 072EE000000 0  
 073 A010000 1.0878  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 0.0000  
 074 A000000 1815  
 074 B000000 0  
 074 C000000 0  
 074 D000000 200738  
 074 E000000 5385  
 074 F000000 2482  
 074 G000000 0  
 074 H000000 0  
 074 I000000 2724  
 074 J000000 3405  
 074 K000000 0  
 074 L000000 3047  
 074 M000000 401  
 074 N000000 219997  
 074 O000000 3701  
 074 P000000 178  
 074 Q000000 0  
 074 R010000 61902  
 074 R020000 0  
 074 R030000 0  
 074 R040000 7393  
 074 S000000 0  
 074 T000000 146823  
 074 U010000 6696  
 074 U020000 0  
 074 V010000 21.93  
 074 V020000 0.00  
 074 W000000 0.0000  
 074 X000000 4425  
 074 Y000000 98758  
 075 A000000 0  
 075 B000000 142282  
 076 000000 21.06

077 A000000 Y  
 077 B000000 N  
 077 C000000 N  
 077 D000000 N  
 077 E000000 N  
 077 F000000 N  
 077 G000000 N  
 077 H000000 N  
 077 I000000 N  
 077 J000000 N  
 077 K000000 N

PAGE 8

077 L000000 N  
 077 M000000 N  
 077 N000000 N  
 077 O000000 Y

Edgar Filing: Guggenheim Credit Allocation Fund - Form NSAR-A

077 P000000 N  
077 Q010000 Y  
077 Q020000 Y  
077 Q030000 N  
078 000000 N  
080 C000000 0  
081 B000000 0  
082 B000000 0  
083 B000000 0  
084 B000000 0  
086 A010000 65918  
086 A020000 45978  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON SHARES  
087 A020000 40168G108  
087 A030000 GGM  
088 A000000 Y  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE Yanna Valak  
TITLE supervisor