CareView Communications Inc

Form 3

March 28, 2014

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Thompson Jason T

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/01/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CareView Communications Inc [CRVW]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

405 HIGHWAY 121, SUITE B-240

(Street)

(Check all applicable)

(give title below) (specify below)

\_X\_\_ Director Officer

10% Owner \_Other

6. Individual or Joint/Group Filing(Check Applicable Line)

5. If Amendment, Date Original

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

LEWISVILLE, TXÂ 75067

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership

Form: Direct (D)

or Indirect (I) (Instr. 5)

Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

1. Title of Derivative Security 2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration

Date

Title

Amount or Number of

Shares

Security Direct (D)

(I)

or Indirect

1

(Instr. 5)

Non-qualified stock option  $01/02/2015\underline{^{(1)}} \quad 12/31/2023 \quad \frac{Common}{Stock} \quad 150,000 \quad \$ \ 0.4 \qquad \qquad D \qquad \hat{A}$ 

## **Reporting Owners**

 $\begin{tabular}{lll} Reporting Owner Name / Address & Relationships & \\ & 10\% & 10\% & Officer & Other \\ \hline Thompson Jason T & & & & & & \\ 405 \ HIGHWAY 121, SUITE B-240 & $\hat{A}$ X & $\hat{A}$ & $\hat{A}$ & $\hat{A}$ \\ LEWISVILLE, $\hat{A}$ TX$ $\hat{A}$ 75067 & & & & & \\ \hline \end{tabular}$ 

# **Signatures**

/s/ Jason T.
Thompson

\*\*Signature of Reporting Person

O3/28/2014

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 150,000 shares underlying the option vest over three years on the anniversary date of the option; therefore, 50,000 shares are exercisable on each of January 2, 2015, 2016 and 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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