

GLU MOBILE INC

Form 3

March 21, 2007

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Wienbar Sharon L

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/21/2007

3. Issuer Name **and** Ticker or Trading Symbol
GLU MOBILE INC [GLUU]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)SCALE VENTURE
PARTNERS, 950 TOWER
LANE, SUITE 700

(Street)

FOSTER CITY, CA 94404

(City)

(State)

(Zip)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

11,000 ⁽¹⁾D ⁽²⁾ AReminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series C Preferred Stock	Â (3)	Â (3)	Common Stock	1,997,631	\$ (3)	I	By BAVP, L.P. (4)
Series D Preferred Stock	Â (5)	Â (5)	Common Stock	307,950	\$ (5)	I	By BAVP, L.P. (4)
Junior Preferred Stock	Â (6)	Â (6)	Common Stock	95,238	\$ (6)	I	By BAVP, L.P. (4)
Stock Option (Right to Buy)	Â (7)	03/21/2017	Common Stock	33,000	\$ 11.5	D (2)	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wienbar Sharon L SCALE VENTURE PARTNERS 950 TOWER LANE, SUITE 700 FOSTER CITY, CA 94404	Â X	Â X	Â	Â

Signatures

/s/ Sharon L.
Wienbar

03/21/2007

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to an award of restricted stock, 16 2/3% of which shall vest six months from the Vesting Commencement Date (03/21/2007) and thereafter at a rate of 1/36 of the award per month at a price of \$0.0001 per share.

Pursuant to the policies of Scale Venture Management I, LLC, the Reporting Person is deemed to hold the option and the restricted stock award for the benefit of Scale Venture Management I, LLC and must exercise the option and/or the award solely at its direction. Scale Venture Management I, LLC may be deemed to be the indirect beneficial owner of the option and the award. The Reporting Person disclaims beneficial ownership of the option and award except to the extent of her pecuniary interest therein.
- (2) The Series C Preferred Stock has no expiration date and is convertible at any time at the option of the holder and will automatically convert to common stock on a one-to-one basis upon the closing of the Issuer's initial public offering for no additional consideration.

Represents securities held by BAVP, L.P. The voting and disposition of shares held by BAVP, L.P. is determined by Scale Venture Management I, LLC, the ultimate general partner of BAVP, L.P. The Reporting Person is a member of Scale Venture Management I, LLC. The Reporting Person disclaims beneficial ownership of these shares except to the extent of her pecuniary interest therein.
- (3) The Series D Preferred Stock has no expiration date and is convertible at any time at the option of the holder and will automatically convert to common stock on a one-to-one basis upon the closing of the Issuer's initial public offering for no additional consideration.
- (4) The Junior Preferred Stock has no expiration date and is convertible at any time at the option of the holder and will automatically convert to common stock on a one-to-one basis upon the closing of the Issuer's initial public offering for no additional consideration.
- (5) The Series D Preferred Stock has no expiration date and is convertible at any time at the option of the holder and will automatically convert to common stock on a one-to-one basis upon the closing of the Issuer's initial public offering for no additional consideration.
- (6) The Junior Preferred Stock has no expiration date and is convertible at any time at the option of the holder and will automatically convert to common stock on a one-to-one basis upon the closing of the Issuer's initial public offering for no additional consideration.
- (7)

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16 2/3% of the option shall vest six months from the Vesting Commencement Date (03/21/2007) and thereafter at a rate of 1/36 of the option per month.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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