

Edgar Filing: SPOTT FOCUS TRUST INC. - Form NSAR-A

SPOTT FOCUS TRUST INC.

Form NSAR-A

August 29, 2016

PAGE 1
000 A000000 06/30/2016
000 C000000 0000825202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SPOTT FOCUS TRUST, INC.
001 B000000 811-05379
001 C000000 4169434065
002 A000000 200 BAY STREET, SUITE 2700
002 B000000 TORONTO
002 E010000 CANADA
002 E020000 M5J 2J1
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 SPOTT ASSET MANAGEMENT LP
008 B000001 A
008 C000001 801-72899
008 D010001 TORONTO
008 D050001 CANADA
008 D060001 M5J 2J1
008 A000002 SPOTT ASSET MANAGEMENT USA, INC.
008 B000002 S
008 C000002 801-66131
008 D010002 CARLSBAD
008 D020002 CA
008 D030002 92008
010 A000001 STATE STREET BANK AND TRUST COMPANY
010 B000001 85-05003
010 C010001 BOSTON
010 C020001 MA
010 C030001 02111
012 A000001 COMPUTERSHARE TRUST COMPANY
012 B000001 84-05925
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 TAIT, WELLER & BAKER
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 SPOTT GLOBAL RESOURCE INVESTMENT LTD.
014 B000001 8-47039
PAGE 2
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 QUINCY
015 C020001 MA
015 C030001 02169
015 E010001 X

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

015 A000002 CANADA SELF CUSTODY CDSX DEBT CLEARING SYSTEM
015 B000002 S
015 C010002 TORONTO
015 D010002 CANADA
015 E040002 X
015 A000003 STATE STREET BK LONDON CREST
015 B000003 S
015 C010003 LONDON
015 D010003 UNITED KINGDOM
015 E040003 X
015 A000004 STANDARD CHARTERED BANK (HONG KONG) LIMITED
015 B000004 S
015 C010004 HONG KONG
015 D010004 HONG KONG
015 E040004 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FIDELITY CLEARING CANADA
020 B000001 06-1303651
020 C000001 21
020 A000002 UBS AG
020 B000002 13-3203169
020 C000002 15
020 A000003 CANACCORD GENUITY
020 B000003 20-0779566
020 C000003 10
020 A000004 BMO Capital Markets Corp
020 B000004 13-3459853
020 C000004 8
020 A000005 CANTOR FITZGERALD & CO.
020 B000005 13-3680184
020 C000005 6
020 A000006 SCOTIA CAPITAL USA INC
020 B000006 13-5239583
020 C000006 5
020 A000007 DUNDEE SECURITIES CO.
020 C000007 5
020 A000008 GMP SECURITIES LTD.
020 B000008 13-4036836
020 C000008 4
020 A000009 BARCLAYS CAPITAL, INC.
020 B000009 05-0346412
020 C000009 3
020 A000010 SANFORD C. BERNSTEIN & CO., LLC
PAGE 3
020 B000010 13-6277976
020 C000010 3
021 000000 93
022 A000001 STATE STREET BANK & TRUST COMPANY
022 B000001 04-1867445
022 C000001 786250
022 D000001 0
023 C000000 786250
023 D000000 0
024 000000 Y
025 A000001 STATE STREET BANK & TRUST COMPANY
025 B000001 04-1867445
025 C000001 D
025 D000001 11631
026 A000000 N
026 B000000 Y

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
	PAGE	4	
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		1.000

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
	PAGE	5
052	000000	N
053	A000000	N
054	A000000	N
054	B000000	N
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	Y
054	I000000	N
054	J000000	N
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	N
055	A000000	N
055	B000000	N
056	000000	Y
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	0
062	A000000	N
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	0.0
062	Q000000	0.0
062	R000000	0.0
063	A000000	0
063	B000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	Y
066	D000000	N
066	E000000	N
	PAGE	6
066	F000000	N
066	G000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	Y
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	N
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	N
070	N010000	Y
070	N020000	Y
070	O010000	Y
070	O020000	N
070	P010000	Y
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	23061
071	B000000	27617
071	C000000	162332
071	D000000	14

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

072 A000000	6	
072 B000000		0
072 C000000		1985
072 D000000		0
072 E000000		860
PAGE 7		
072 F000000		827
072 G000000		30
072 H000000		0
072 I000000		11
072 J000000		14
072 K000000		21
072 L000000		10
072 M000000		13
072 N000000		0
072 O000000		0
072 P000000		0
072 Q000000		0
072 R000000		18
072 S000000		149
072 T000000		0
072 U000000		0
072 V000000		0
072 W000000		43
072 X000000		1136
072 Y000000		144
072 Z000000		1853
072AA000000		0
072BB000000		640
072CC010000		18563
072CC020000		0
072DD010000		0
072DD020000		0
072EE000000		0
073 A010000		0.1900
073 A020000		0.0000
073 B000000		0.0000
073 C000000		0.0000
074 A000000		23
074 B000000		11631
074 C000000		0
074 D000000		0
074 E000000		0
074 F000000		168762
074 G000000		0
074 H000000		0
074 I000000		36082
074 J000000		557
074 K000000		0
074 L000000		252
074 M000000		12
074 N000000		217319
074 O000000		0
074 P000000		144
074 Q000000		0
074 R010000		0
074 R020000		0
PAGE 8		
074 R030000		0
074 R040000		37185
074 S000000		0
074 T000000		179990

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

074 U010000 24002
074 U020000 0
074 V010000 7.50
074 V020000 0.00
074 W000000 0.0000
074 X000000 500
074 Y000000 0
075 A000000 0
075 B000000 168878
076 000000 6.42
077 A000000 Y
077 B000000 N
077 C000000 Y
077 D000000 N
077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 C000000 0
081 B000000 0
082 B000000 0
083 B000000 0
084 B000000 0
086 A010000 419
086 A020000 2598
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0

PAGE 9

087 A010000 COMMON STOCK
087 A020000 78080N108
087 A030000 FUND
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SCOTT COLBOURNE
TITLE PRESIDENT