#### FIELDS RANDALL K

Form 4 May 25, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

**SUITE 2225** 

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading FIELDS RANDALL K Issuer Symbol PARK CITY GROUP INC [PCYG] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director Officer (give title C/O PARK CITY GROUP, 05/25/2018 below) INC., 299 SOUTH MAIN STREET, CHIEF EXECUTIVE OFFICER

> (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

**OMB APPROVAL** 

10% Owner \_ Other (specify

3235-0287

January 31,

2005

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OMB

Number:

Expires:

response...

Estimated average

burden hours per

Form filed by More than One Reporting

### SALT LAKE CITY, UT 84111

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	05/25/2018		P	4,000	A	\$ 7.99	654,693	I	By Riverview Financial Corp.
Common Stock							3,706,089 (1)	D	
Common Stock							30,667	I	By Spouse
Common Stock							1,289,230 (2)	I	By Fields Management
							531,432	I	

## Edgar Filing: FIELDS RANDALL K - Form 4

Series B Preferred Stock			By Riverview Financial Corp		
Series B Preferred Stock	14,450	I	By Spouse		
Common Stock	205,000	I	By Charitable 2010, LLC		
Series B-1 Preferred Stock	121,936	I	By Fields Management, Inc.		
Series B-1 Preferred Stock	78,123	I	By Riverview Financial Corp		
Series B-1 Preferred Stock	657	I	By Spouse		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.					
	Persons who respond to the co	llection of	SEC 1474		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

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1. Title of	2.	3. Transaction Date		4. 5.		6. Date Exercisable and		7. Title and Amount of	
Derivative Security	Conversion or Exercise	(Month/Day/Year)	Execution Date, if any	Code	onNumber of	Expiration Dat		Underlying Securities (Instr. 3 and 4)	
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(HISH). J and	+)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant	\$ 10					01/26/2015	01/26/2020	Common Stock	3,165
Warrants	\$ 4					02/05/2015	02/05/2020	Common Stock	914,065
Warrants	\$ 4					02/05/2015	02/05/2020		40,250

(9-02)

8. De Sec (In

Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FIELDS RANDALL K C/O PARK CITY GROUP, INC. 299 SOUTH MAIN STREET, SUITE 2225 SALT LAKE CITY, UT 84111

CHIEF EXECUTIVE OFFICER

## **Signatures**

/s/ Randall K. Fields

05/25/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 5,157 unvested shares of common stock.
- (2) Includes 771,587 unvested shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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