Edgar Filing: On Deck Capital, Inc. - Form 4

On Deck Ca	pital, Inc.										
Form 4											
March 17, 2											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COM						OMMISSION		PROVAL			
Washington, D.C. 20549						NGE C		OMB Number:	3235-0287		
Check this box if no longer									Expires:	January 31, 2005	
subject t	F CHAN			ICIA	LOW	NERSHIP OF	Estimated a	timated average			
Section Form 4 of				SECU	RITIES				burden hours per response 0.		
Form 5	Filed pur	suant to S	Section 1	6(a) of tl	ne Securi	ties E	xchange	e Act of 1934,	response	0.5	
obligatic may con <i>See</i> Instr 1(b).	tinue. Section 17(a) of the l	Public U	tility Hol		npany	Act of	1935 or Section	I		
(Print or Type	Responses)										
				er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to			
Breslow No	bah		Symbol					Issuer			
			On Dec	k Capita	l, Inc. [O	NDK.	J	(Check all applicable)			
(Last)	(First) (N	Middle)			ransaction				100	2	
C/O ON DI	ECK CAPITAL,		(Month/I 03/15/2	-				X Director X Officer (give		Owner r (specify	
	BROADWAY, 2	5TH	03/13/2	010				below)	below) & Chairman		
	(Street)		4. If Ame	endment, D	ate Origina	ıl		6. Individual or Joi	nt/Group Filin	g(Check	
				nth/Day/Yea	-			Applicable Line)			
NEW YOR	K, NY 10018							_X_ Form filed by O Form filed by M Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secur	ities Acq	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of	2. Transaction Date	2A. Deem	ned	3.	4. Securi			5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)		on Date, if Transaction(A) or Disposed of (D) C_{1}			Securities	Ownership	Indirect			
(Instr. 3)		any (Month/D	ay/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)				Beneficially Owned	Form: Direct 1 (D) or	Ownership	
		× • • •		(Following	Indirect (I)	(Instr. 4)	
						(A)		Reported Transaction(s)	(Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common							\$	2 06 400			
Stock	03/15/2016			A <u>(1)</u> V	2,407	A	6.0265	206,490	D		
Common									-	See	
Stock								32,000	I	footnote (2)	
Common										See	
Stock								32,000	Ι	footnote (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	T . 1	or		
						Exercisable	Date	Title	Number		
					(\mathbf{A}) (\mathbf{D})				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Breslow Noah C/O ON DECK CAPITAL, INC. 1400 BROADWAY, 25TH FLOOR NEW YORK, NY 10018	Х		CEO & Chairman				
Signatures							
/s/ Robert A. Zuccaro, by power of attorney	03/17/2016						
** Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The reported shares were acquired under the On Deck Capital, Inc. 2014 Employee Stock Purchase Plan in a transaction that was exempt (1) under both Rule 16b-3(c) and Rule 16b-3(d).
- The reported shares are held directly in a trust for the Reporting Person's minor son for which the Reporting Person's spouse serves as (2) trustee.
- The reported shares are held directly in a trust for the Reporting Person's minor daughter for which the Reporting Person's spouse serves (3)as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.