## Edgar Filing: NILES WILLIAM E - Form 4

Form 4	LIAM E										
April 03, 201											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO								OMMISSION	OMB APPROVAL		
<i>.</i>	Washington, D.C. 20549						OMB Number:	3235-0287			
Check thi if no long subject to Section 14 Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	6. Filed pur Section 17(5	suant to S a) of the P	<b>IT OF CHANGES IN BENEFICIAL OWNERSHIP (</b> <b>SECURITIES</b> nt to Section 16(a) of the Securities Exchange Act of 193 f the Public Utility Holding Company Act of 1935 or Sec 30(h) of the Investment Company Act of 1940						January 31 Expires: 200 Estimated average burden hours per response 0.3		
(Print or Type R	Responses)										
NILES WILLIAM E Symbols Asce			Symbol	Name <b>and</b> Capital Gr A]			ng	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			e of Earliest Transaction n/Day/Year) /2019				Director 10% Owner X_ Officer (give title Other (specify below) below) CEO/Gen Counsel/Secretary				
				endment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transactio Code (Instr. 8) Code V	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Series A Common Stock	04/01/2019			F	3,623	D (1)	\$ 0.769	77,723	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting O when A time / Address	Director	10% Owner	Officer	Other			
NILES WILLIAM E ASCENT CAPITAL GROUP, INC. 5251 DTC PARKWAY, SUITE 1000 GREENWOOD VILLLAGE, CO 80111			CEO/Gen Counsel/Secretary				
Signatures							
/s/ William E.							

Niles 04/02/2019 <u>\*\*</u>Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares disposed of in this transaction were withheld by the Issuer in connection with the payment of withholding taxes on certain restricted stock units that vested on April 1, 2019. The price is based on an average of the high and low trading prices on April 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.