

Stock Yards Bancorp, Inc.
Form 4
September 06, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DAVIS NANCY B

(Last) (First) (Middle)
4700 CROFTON ROAD
(Street)

LOUISVILLE, KY 40207

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction
(Month/Day/Year)
09/01/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Executive V.P., Treasurer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	06/08/2016		G	V 36 D	93,288 ⁽¹⁾	D	
Common Stock	06/21/2016		G	V 72 D	93,216	D	
Common Stock	09/01/2016		M	4,800 A \$ 15.58	98,016	D	
Common Stock	09/01/2016		M	4,500 A \$ 14.76	102,516	D	
Common Stock	09/01/2016		M	8,739 A \$ 14.02	111,255	D	

Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

Common Stock	09/01/2016	F	11,736	D	\$ 31.94	99,519	D	
Common Stock						232 (2)	I	By 401k/ESOP-FBO Nancy Davis

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Appreciation Right	\$ 15.58	09/01/2016		M	4,800	08/19/2008 02/19/2018	Common Stock	4,800	
Stock Appreciation Right	\$ 14.76	09/01/2016		M	4,500	02/17/2010 02/17/2019	Common Stock	4,500	
Stock Appreciation Right	\$ 14.02	09/01/2016		M	8,739	02/16/2011 02/16/2020	Common Stock	8,739	
Option (right to buy)	\$ 17.89					08/20/2007 02/20/2017	Common Stock	7,500	
Stock Appreciation Right	\$ 15.84					03/15/2012 03/15/2021	Common Stock	5,220	
Stock Appreciation Right	\$ 15.24					02/20/2013 02/20/2022	Common Stock	9,180	
Stock Appreciation	\$ 19.37					02/18/2015 02/18/2024	Common Stock	7,720	

Right

Stock

Appreciation \$ 22.96

Right

03/17/2016 03/17/2025

Common
Stock

5,95

Stock

Appreciation \$ 25.76

Right

03/15/2017 03/15/2026

Common
Stock

7,30

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DAVIS NANCY B 4700 CROFTON ROAD LOUISVILLE, KY 40207			Executive V.P., Treasurer	

Signatures

/s/ Nancy B.

Davis

09/06/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gifted shares to charitable organization.
- (2) Shares adjusted due to 3 for 2 stock split distributed on May 27, 2016.

Remarks:

All Share amounts on Table I and Table II as well as exercise pricing in Table II are updated to reflect 3 for 2 split distributed

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.