

Poindexter Philip  
Form 4  
March 27, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Poindexter Philip

(Last) (First) (Middle)

320 MOCKINGBIRD HILL ROAD

(Street)

LOUISVILLE, KY 40207

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction (Month/Day/Year)

03/25/2019

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    |                                      |  |                                |   | 12,094  | I  | 401k/ESOP-FBO Philip Poindexter                       |
| Common Stock                    | 03/25/2019                           |  | A                              | 7,494 A   | \$ 33.39 33,009   | D  |   |
| Common Stock                    | 03/25/2019                           |  | F                              | 3,476 D   | \$ 33.39 29,533   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Stock Appreciation Right                   | \$ 35.9  |                                      |  |                                |   | 02/20/2019   | 02/20/2028  | Common Stock | 4,872                      |
| Stock Appreciation Right                   | \$ 15.24   |                                      |  |                                |   | 02/20/2013   | 02/20/2022  | Common Stock | 10,698                     |
| Stock Appreciation Right                   | \$ 15.26   |                                      |  |                                |   | 02/19/2014   | 02/19/2023  | Common Stock | 7,575                      |
| Stock Appreciation Right                   | \$ 19.37   |                                      |  |                                |   | 02/18/2015   | 02/18/2024  | Common Stock | 8,872                      |
| Stock Appreciation Right                   | \$ 22.96   |                                      |  |                                |   | 03/17/2016   | 03/17/2025  | Common Stock | 8,098                      |
| Stock Appreciation Right                   | \$ 25.76   |                                      |  |                                |   | 03/15/2017   | 03/15/2026  | Common Stock | 9,469                      |
| Stock Appreciation Right                   | \$ 40  |                                      |  |                                |   | 03/21/2018   | 03/21/2017  | Common Stock | 4,968                      |
| Stock Appreciation Right                   | \$ 15.84   |                                      |  |                                |   | 03/15/2012   | 03/15/2021  | Common Stock | 6,145                      |
| Stock Appreciation Right                   | \$ 39.32   |                                      |  |                                |   | 10/01/2019   | 10/01/2028  | Common Stock | 17,500                     |
|  | \$ 36.65   |                                      |  |                                |   | 02/19/2020   | 02/19/2029  |              | 6,989                      |

Stock  
Appreciation  
Right

Common  
Stock

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |           |       |
|--|---------------|-----------|-----------|-------|
|  | Director      | 10% Owner | Officer   | Other |
| Poindexter Philip<br>320 MOCKINGBIRD HILL ROAD<br>LOUISVILLE, KY 40207 |               |           | President |       |

## Signatures

/s/ Philip  
Poindexter                      03/27/2019

\_\_Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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