Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

PROVIDENT FINANCIAL SERVICES INC Form 4 February 21, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Nesci James D Issuer Symbol PROVIDENT FINANCIAL (Check all applicable) SERVICES INC [PFS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X_Officer (give title (Month/Day/Year) below) below) 239 WASHINGTON STREET 02/19/2014 EVP and Chief Wealth Mgmt Ofc (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting JERSEY CITY, NJ 07302 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3, 4 and 5) (Instr. 3) any Code Beneficially (D) or Beneficial Indirect (I) (Month/Day/Year) (Instr. 8) Owned Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 1,971 02/19/2014 Α A \$0 7.196 D Stock (1) Common 1,000 02/19/2014 \$0 8,196 D A A (2) Stock Common F D 02/19/2014 741 D 7,455 16.38 Stock Common 13,291 Ι By 401(k) Stock Common 2,340 I By ESOP Stock

Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;		Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 10.34					03/04/2013	03/04/2023	Common Stock	11,598 (3)	
Stock Options	\$ 10.27					05/07/2010	05/07/2019	Common Stock	15,000 (3)	

Reporting Owners

Reporting Owner Name / Address	Relationships				
i o	Director	10% Owner	Officer	Other	
Nesci James D 239 WASHINGTON STREET JERSEY CITY, NJ 07302			EVP and Chief Wealth Mgmt Ofc		
Signatures					
/s/ John F. Kuntz, Pursuant to Pe Attorney	ower of		02/21/2014		

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Shares of restricted stock vest at a rate of 50% per year over a period ending February 19, 2016
- (2) Shares of restricted stock vest on February 19, 2015.

(3) Stock options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.