Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

PROVIDENT FINANCIAL SERVICES INC

Form 4 March 05, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-0287

January 31,

2005

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OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

 $9,292^{(2)}$

Ι

Estimated average

burden hours per

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

Stock

Common

(Print or Type Responses)

1. Name and Address of Reporting Person *

MARTIN CHRISTOPHER P

WARTIN CHRISTOFHER F				PROVIDENT FINANCIAL SERVICES INC [PFS]					(Check all applicable)				
	(Last) (First) (Middle) 239 WASHINGTON STREET			3. Date of Earliest Transaction (Month/Day/Year) 03/04/2014					X Director 10% OwnerX Officer (give title Other (specify below)				
		4. If Amendment, Date Original Filed(Month/Day/Year)					Chairman, President and CEO 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
JERSEY CITY, NJ 07302									Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	emed on Date, if 'Day/Year)	3. Transaction Code (Instr. 8)	4. Securition(A) or Dis (D) (Instr. 3, 4	posed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Common Stock	03/04/2014			A	11,314 (1)	A	\$0	295,635	D			
	Common Stock								127,469 (2)	I	By 401(k) Plan		
	Common								17,785	I	By Deferred		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Fee Plan

By ESOP

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	n (Month/Day/Year) Execution Date, if TransactionDerivate any Code Securitie (Month/Day/Year) (Instr. 8) Acquire or Dispo (D)		Securities Acquired (A or Disposed (D) (Instr. 3, 4,	tive Expiration ies (Month/Dayed (A) cosed of		te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) ((D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 19.1	03/04/2014		A	14,622		03/04/2014	03/04/2024	Common Stock	14,622 (3)
Stock Options	\$ 16.38						02/19/2015	02/19/2024	Common Stock	35,000 (4)
Stock Options	\$ 10.34						03/04/2013	03/04/2023	Common Stock	64,433 (5)
Stock Options	\$ 10.4						02/03/2012	02/03/2019	Common Stock	3,718 (5)
Stock Options	\$ 10.4						02/03/2010	02/03/2019	Common Stock	16,600 (5)
Stock Options	\$ 12.54						01/29/2009	01/29/2018	Common Stock	13,020 (5)
Stock Options	\$ 17.94						01/29/2008	01/29/2017	Common Stock	27,267 (5)
Stock Options	\$ 18.48						03/23/2007	03/23/2016	Common Stock	40,000 (5)
Stock Options	\$ 14.5						02/24/2012	02/24/2021	Common Stock	50,000 (6)
Stock Options	\$ 15.23						02/19/2014	02/19/2023	Common Stock	35,000 (7)
Stock Options	\$ 14.88						02/03/2013	02/03/2022	Common Stock	25,000 (8)

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302

X

Chairman, President and CEO

Signatures

/s/ John F. Kuntz, Pursuant to Power of Attorney

03/05/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance stock awards granted on February 3, 2011 which vested on March 4, 2014 based on meeting certain performance criteria.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Performance stock options granted on February 3, 2011 which vested on March 4, 2014 based on meeting certain performance criteria.
- (4) Stock options vest at a rate of 20% per year over a period ending February 19, 2019.
- (5) Stock options have fully vested.
- (6) Stock options vest at a rate of 20% per year over a period ending February 24, 2016.
- (7) Stock options vest at a rate of 20% per year over a period ending February 19, 2018.
- (8) Stock options vest at a rate of 20% per year over a period ending February 3, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3