#### Edgar Filing: MainStay DefinedTerm Municipal Opportunities Fund - Form 3

MainStay DefinedTerm Municipal Opportunities Fund Form 3 October 07, 2014

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  Bopp Kevin M			2. Date of Event Requiring Statement (Month/Day/Year) 10/06/2014	3. Issuer Name and Ticker or Trading Symbol MainStay DefinedTerm Municipal Opportunities Fund [MMD]				
(Last)	(First)	(Middle)	10/00/2014	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
169 LACK	AWANNA	AVENUE		(Cl. 1	11 11 11 11			
	(Street)			(Check all applicable)			6. Individual or Joint/Group	
PARSIPPANY, NJ 07054				Director 10% Owner X Officer Other (give title below) (specify below) VP/Chief Compliance Officer		r ow)	Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Deriva	tive Securit	ies Be	neficially Owned	
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	*	
Shares of beneficial interest			0		D	Â		
Reminder: Rep			ach class of securities benefic	ially S	SEC 1473 (7-02	!)		
	Perso infor requi	ons who res mation cont ired to respo	spond to the collection of ained in this form are not ond unless the form displement.	t				

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

Shares

(I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
Bopp Kevin M			VP/Chief				
169 LACKAWANNA AVENUE	Â	Â	Compliance	Â			
PARSIPPANY, NJ 07054			Officer				

# **Signatures**

Kevin M. Bopp 10/07/2014

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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