

CABILLOT RAYMOND E
Form 4
April 24, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CABILLOT RAYMOND E

(Last) (First) (Middle)

3033 EXCELSIOR
BOULEVARD, STE. 560

(Street)

MINNEAPOLIS, MN 55416

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PRO DEX INC [PDEX]

3. Date of Earliest Transaction
(Month/Day/Year)
04/23/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	04/23/2019		S ⁽¹⁾	100 D \$ 16.33	477,462	I	By Farnam Street Partners, L.P
Common Stock	04/23/2019		S ⁽¹⁾	560 D \$ 16.32	476,902	I	By Farnam Street Partners, L.P
Common Stock	04/23/2019		S ⁽¹⁾	100 D \$ 16.26	476,802	I	By Farnam

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1. Title of Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned
Common Stock		04/23/2019		S ⁽¹⁾	350	D \$ 16.25	476,452	I	Street Partners, L.P By Farnam Street Partners, L.P
Common Stock		04/23/2019		S ⁽¹⁾	5,964	D \$ 16	470,488	I	Street Partners, L.P By Farnam Street Partners, L.P
Common Stock		04/24/2019		S ⁽¹⁾	100	D \$ 16.05	470,388	I	Street Partners, L.P By Farnam Street Partners, L.P
Common Stock		04/24/2019		S ⁽¹⁾	100	D \$ 16.04	470,288	I	Street Partners, L.P By Farnam Street Partners, L.P
Common Stock		04/24/2019		S ⁽¹⁾	77	D \$ 16.01	470,211	I	Street Partners, L.P By Farnam Street Partners, L.P
Common Stock		04/24/2019		S ⁽¹⁾	100	D \$ 16	470,111	I	Street Partners, L.P By Farnam Street Partners, L.P

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned
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Security	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CABILLOT RAYMOND E 3033 EXCELSIOR BOULEVARD STE. 560 MINNEAPOLIS, MN 55416	X	X		
FARNAM STREET PARTNERS LP /MN 3033 EXCELSIOR BOULEVARD MINNEAPOLIS, MN 55416		X		

Signatures

/s/ Alisha Charlton as attorney-in-fact for Raymond E. Cabillot 04/24/2019
 __Signature of Reporting Person Date

/s/ Alisha Charlton as attorney-in-fact for Farnam Street Partners, L.P. 04/24/2019
 __Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting persons on December 4, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.