



Edgar Filing: ENCISION INC - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Option-right to buy	\$ 0.94	08/13/2014	A	10,000					(1)	11/13/2019	Common Stock	10,000
Common Stock Options-right to buy	\$ 0.5	08/05/2015	A	10,000					(2)	11/05/2020	Common Stock	10,000
Common Stock Options-right to buy	\$ 0.31	08/10/2016	A	15,000					(3)	11/10/2021	Common Stock	15,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NEWTON DAVID W	X		Vp of Technology	

## Signatures

Mala Ray 11/09/2016  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares subject to the option grant vest in equal installments on each of August 13, 2015, August 13, 2016, August 13, 2017, August 13, 2018 and August 13, 2019.
- (2) The shares subject to the option grant vest in equal installments on each of August 5, 2016, August 5, 2017, August 5, 2018, August 5, 2019 and August 5, 2020.
- (3) The shares subject to the option grant vest in equal installments on each of August 10, 2017, August 10, 2018, August 10, 2019, August 10, 2020 and August 10, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.