

Syvrud Cherri  
Form 5  
February 14, 2019

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
Syvrud Cherri

2. Issuer Name and Ticker or Trading Symbol  
GIBALTAR INDUSTRIES, INC.  
[ROCK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
Senior Vice President

3556 LAKESHORE RD., P.O. BOX 2028

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

BUFFALO, NY 14219-0228

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	1,028	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	43.754 <sup>(1)</sup>	I	401(k)
Common Stock	Â	Â	Â	Â	Â	Â	127.348 <sup>(2)</sup>	I	401(k)
Common Stock	Â	Â	Â	Â	Â	Â	220.574 <sup>(3)</sup>	I	401(k)

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Stock

Discretionary

Restricted

Stock Units    Â                    Â                    Â                    Â                    Â                    Â                    2,000                    D                    Â

(February 2017)

Performance

Stock Units    Â                    Â                    Â                    Â                    Â                    Â                    1,350                    D                    Â

(February 2017)

Performance

Stock Units    Â                    Â                    Â                    Â                    Â                    Â                    8,096                    D                    Â

(March 2018)

Restricted

Stock Unit        Â                    Â                    Â                    Â                    Â                    Â                    911                    D                    Â

(LTIP 6/10/2016)

Restricted

Stock Units    Â                    Â                    Â                    Â                    Â                    Â                    726                    D                    Â

(LTIP 02/01/2017)

Restricted

Stock Units    Â                    Â                    Â                    Â                    Â                    Â                    2,024                    D                    Â

(LTIP 3/1/2018)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. D	S	(1
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares		
Restricted Stock Unit	Â	Â	Â	Â	Â Â	Â (4) Â (4)	Common Stock	2,184.03		

(MSPP  
Match  
Post-2012)

Restricted  
Stock Unit  
(MSPP  
Post-2012)

Â Â Â Â Â Â Â (5) Â (5) Common Stock 2,184.03

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Syvrud Cherri 3556 LAKESHORE RD. P.O. BOX 2028 BUFFALO, NY 14219-0228	Â	Â	Â Senior Vice President	Â

## Signatures

/s/ Paul J. Schulz, Attorney in Fact for Cherri  
Syvrud 02/14/2019

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents total shares of common stock of the Company indirectly held by Reporting Person through her 401(k) plan as of December 31, 2016. Reporting Person's 401(k) plan purchased 43.7594 shares of common stock of the Company in the calendar year of 2016. Through inadvertence, shares of common stock acquired by the 401(k) plan for the Reporting Person were not previously reported on a Form 5.
- (2) Represents total shares of common stock of the Company indirectly held by Reporting Person through her 401(k) plan as of December 31, 2017. Reporting Person's 401(k) plan purchased 83.594 shares of common stock of the Company in the calendar year of 2017. Through inadvertence, shares of common stock acquired by the 401(k) plan for the Reporting Person were not previously reported on a Form 5.
- (3) Represents total shares of common stock of the Company indirectly held by Reporting Person through her 401(k) plan as of December 31, 2018. Reporting Person's 401(k) plan purchased 93.226 shares of common stock of the Company in the calendar year of 2018.
- (4) Restricted stock units are forfeited if Reporting Person's service as an officer of the Company is terminated prior to age sixty (60). If service as an officer continues through age sixty (60), restricted stock units are payable solely in cash in one lump sum payment or in five (5) or ten (10) consecutive, substantially equal annual installments, whichever distribution form is elected by the Reporting Person, beginning six (6) months following termination of service. Each restricted stock unit is converted to cash in an amount equal to the fair market value (200 day rolling average) of one share of the Company's common stock on the date of termination of the Reporting Person's service as an officer of the Company.
- (5) Restricted stock units are payable solely in cash in one lump sum payment or in five (5) or ten (10) consecutive, substantially equal annual installments, whichever distribution form is elected by the Reporting Person, beginning six (6) months following termination of service as an officer of the Company. Each restricted stock unit is converted to cash in an amount equal to the fair market value (200 day rolling average) of one share of the Company's common stock on the date of termination of the Reporting Person's service as an officer of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.