### Edgar Filing: ICAD INC - Form 4

ICAD INC Form 4 September 23, 2015 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Subject to SECURITIES SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, etion 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).										
	ddress of Reporting Perso	Symbol	Name <b>and</b> IC [ICAI		Tradin	ıg	5. Relationship of Issuer	f Reporting Per	rson(s) to	
(Last) (First) (Middle) 3. Date o (Month/I			Date of Earliest Transaction Month/Day/Year)				(Chec Director Officer (give below)		e) % Owner her (specify	
			nth/Day/Year) Applicable Line _X_Form filed				Applicable Line) _X_ Form filed by 0 Form filed by M	r Joint/Group Filing(Check by One Reporting Person y More than One Reporting		
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	uired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	of 2. Transaction Date 2A. Deemed y (Month/Day/Year) Execution Date, if			Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common			Code V	Amount		Price ¢	(Instr. 3 and 4)			
Stock	09/22/2016		М	750	А	ф 2.25	458,371	D		
Common Stock	09/22/2016		М	750	А	\$ 3.1	459,121	D		
Common Stock	09/22/2016		М	5,000	А	\$ 3.75	464,121	D		
Common Stock	09/22/2016		М	750	А	\$ 2.8	464,871	D		
Common Stock	09/22/2016		М	750	А	\$2	465,621	D		
	09/22/2016		М	1,500	А		467,121	D		

#### Edgar Filing: ICAD INC - Form 4

Common Stock					\$ 2.15			
Common Stock	09/22/2016	М	750	А	\$ 2	467,871	D	
Common Stock	09/22/2016	М	750	А	\$ 2	468,621	D	
Common Stock						75,300	I	Son
Common Stock						75,300	I	Daughter
Common Stock						2,300	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy)	\$ 2.25	09/22/2016		М		750	04/20/2012	04/20/2017	Common Stock	750
Option (right to buy)	\$ 3.1	09/22/2016		М		750	10/20/2011	10/20/2016	Common Stock	750
Option (right to buy)	\$ 3.75	09/22/2016		М		5,000	11/14/2011	11/14/2016	Common Stock	5,000
Option (right to buy)	\$ 2.8	09/22/2016		М		750	01/20/2012	01/20/2017	Common Stock	750

8 D S (]

Option (right to buy)	\$ 2	09/22/2016	М	750	07/20/2012	07/20/2017	Common Stock	750
Option (right to buy)	\$ 2.15	09/22/2016	М	1,500	08/13/2012	08/13/2017	Common Stock	1,500
Option (right to buy)	\$ 2	09/22/2016	М	750	10/22/2012	10/22/2017	Common Stock	750
Option (right to buy)	\$ 2	09/22/2016	М	750	10/22/2012	10/22/2017	Common Stock	750

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HOWARD DR LAWRENCE 98 SPIT BROOK RD. STE. 100 NASHUA, NH 03062							
Signatures							
/s/Annette Heroux, Attorney-in-fact	09	/23/2016					

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.