### Edgar Filing: MICROSOFT CORP - Form 3

#### MICROSOFT CORP

Form 3

December 01, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement MICROSOFT CORP [MSFT] Hogan Kathleen T (Month/Day/Year) 11/28/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MICROSOFT (Check all applicable) CORPORATION, Â ONE MICROSOFT WAY 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) EVP, Human Resources \_X\_ Form filed by One Reporting Person REDMOND, WAÂ 98052-6399 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock  $121,183 \frac{(1)}{}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	--	------------------------------------	---------------------------------	---

## Edgar Filing: MICROSOFT CORP - Form 3

Date Expiration Title Amount or Security

Exercisable Date Number of Shares

or Indirect (I) (Instr. 5)

Direct (D)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hogan Kathleen T C/O MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WAÂ 98052-6399

 $\hat{A}$   $\hat{A}$   $\hat{A}$  EVP, Human Resources  $\hat{A}$ 

## **Signatures**

Keith R. Dolliver, Attorney-in-fact for Kathleen T. Hogan

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes an aggregate of 104,905 shares represented by stock awards that vest, subject to continued employment, as follows: 6,680 shares on February 28, 2015; 5,019 shares on August 29, 2015; 5,809 shares on August 30, 2015; 29,407 shares on August 31, 2015; 8,652 shares on February 29, 2016; 3,038 shares on August 29, 2016; 5,808 shares on August 30, 2016; 10,725 shars on August 31, 2016; 5,733

(1) shares on February 28, 2016, 3,038 shares on August 29, 2016, 3,608 shares on August 30, 2016, 10,723 shars on August 31, 2016, 3,738 shares on February 28, 2017; 3,037 shares on August 29, 2017; 5,809 shares on August 30, 2017; 3,894 shares on August 31, 2017; 3,038 shares on February 28, 2018; 3,028 shares on August 29, 2018; 3,114 shares on August 30, 2018; 1,057 shares on February 28, 2019; and 1,057 shares on August 29, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2