

MICROSOFT CORP
Form 3
July 15, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
* ^ COURTOIS JEAN PHILIPPE			(Month/Day/Year)	MICROSOFT CORP [MSFT]	
(Last)	(First)	(Middle)	07/07/2016	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
C/O MICROSOFT CORPORATION, ^ ONE MICROSOFT WAY				(Check all applicable)	
(Street)				<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
REDMOND, ^ WA ^ 98052-6399				<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
(City)	(State)	(Zip)		Executive Vice President	<input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	411,620 ⁽¹⁾	D	^
Common Stock	197,883	I	Held through "contrat d'assurance vie" ⁽²⁾
Common Stock	59,699	I	Held through "contrat d'assurance vie" ⁽²⁾
Common Stock	16,400	I	Held through "contrat de capitalisation" ⁽³⁾
Common Stock	16,400	I	Held through "contrat de capitalisation" ⁽³⁾
Common Stock	16,400	I	Held through "contrat de capitalisation" ⁽³⁾

Edgar Filing: MICROSOFT CORP - Form 3

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date			
		Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
COURTOIS JEAN PHILIPPE C/O MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052-6399	Â	Â	Â Executive Vice President	Â

Signatures

Keith R. Dolliver, Attorney-in-fact for Jean-Philippe
Courtois

07/15/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes an aggregate of 174,221 shares represented by stock awards that vest, subject to continued employment, as follows: 36,804 shares vest on August 29, 2016, 12,156 shares vest on August 30, 2016, 21,256 shares vest on August 31, 2016, 22,235 shares vest on February 28, 2017, 6,279 shares vest on May 15, 2017, 7,352 shares vest on August 29, 2017, 12,157 shares vest on August 30, 2017, 7,400 shares vest on August 31, 2017, 12,901 shares vest on February 28, 2018, 5,503 shares vest on August 29, 2018, 4,671 shares vest on August 30, 2018, 7,400 shares vest on August 31, 2018, 7,398 shares vest on February 28, 2019, 7,400 shares vest on August 31, 2019, 1,654 shares vest on February 29, 2020, and 1,655 shares vest on August 31, 2020.

(2) Held through "contrat d'assurance vie," a form of life insurance program, where the reporting person maintains investment control over the Microsoft shares held in the program. Beneficiaries of the program include the reporting person's children. The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein.

(3) Held through "contrat de capitalisation," a form of life insurance program for the benefit of the reporting person's two children, or inheritor, where the reporting person maintains investment control over the Microsoft shares held in the program. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.