Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4/A

PROVIDENT FINANCIAL SERVICES INC Form 4/A March 09, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MARTIN CHRISTOPHER P Issuer Symbol PROVIDENT FINANCIAL (Check all applicable) SERVICES INC [PFS] _X_ Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) 239 WASHINGTON STREET 02/19/2015 Chairman, President and CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person 02/23/2015 Form filed by More than One Reporting JERSEY CITY, NJ 07302 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) Owned Indirect (I) Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 02/19/2015 F 2.843 D 292,116 D 18.34 Stock Common By 401(k) 130,814 (1) I Stock Plan By Common 17,785 I Deferred Stock Fee Plan Common 10.542 (1) I By ESOP Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Numbe orDerivative Securities Acquired or Dispos (D) (Instr. 3, 4 and 5)	e (A) ed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 18.34	02/19/2015		А	65,972 (2)		02/19/2016	02/19/2025	Common Stock	65,972 (3)
Stock Options	\$ 19.1						03/04/2014	03/04/2024	Common Stock	14,622 (4)
Stock Options	\$ 16.38						02/19/2015	02/19/2024	Common Stock	35,000 (5)
Stock Options	\$ 10.34						03/04/2013	03/04/2023	Common Stock	64,433 (6)
Stock Options	\$ 10.4						02/03/2012	02/03/2019	Common Stock	3,718 (6)
Stock Options	\$ 10.4						02/03/2010	02/03/2019	Common Stock	16,600 (6)
Stock Options	\$ 12.54						01/29/2009	01/29/2018	Common Stock	13,020 (<u>6)</u>
Stock Options	\$ 17.94						01/29/2008	01/29/2017	Common Stock	27,267 (6)
Stock Options	\$ 18.48						03/23/2007	03/23/2016	Common Stock	40,000 (6)
Stock Options	\$ 14.5						02/24/2012	02/24/2021	Common Stock	50,000 (7)
Stock Options	\$ 15.23						02/19/2014	02/19/2023	Common Stock	35,000 (8)
Stock Options	\$ 14.88						02/03/2013	02/03/2022	Common Stock	25,000 (9)

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302	Х		Chairman, President and CEO					
Signatures								
/s/ Leonard Gleason, Pursuant to Attorney	o Power o	of	03/09/2015					
<u>**</u> Signature of Reporting Per		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Amended to reflect correct number of Derivitive Securities acquired.
- (3) Stock options vest at a rate of 33.3% per year over a period ending February 19, 2018.
- (4) Performance stock options granted on February 3, 2011 which vested on March 4, 2014 based on meeting certain performance criteria.
- (5) Stock options vest at a rate of 20% per year over a period ending February 19, 2019.
- (6) Stock options have fully vested.
- (7) Stock options vest at a rate of 20% per year over a period ending February 24, 2016.
- (8) Stock options vest at a rate of 20% per year over a period ending February 19, 2018.
- (9) Stock options vest at a rate of 20% per year over a period ending February 3, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.