

Edgar Filing: Horizon Global Corp - Form SD

Horizon Global Corp
Form SD
May 30, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM SD

SPECIALIZED DISCLOSURE REPORT

Horizon Global Corporation
(Exact name of registrant as specified in its charter)

Delaware 001-37427 47-3574483
(State or other jurisdiction (Commission (IRS Employer
of incorporation) File Number) Identification No.)

2600 W Big Beaver Road, Suite 555 48084
Troy, Michigan
(Address of principal executive offices) (Zip Code)

Jay Goldbaum, General Counsel, Chief Compliance Officer and Corporate Secretary 248-593-8820
(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2017.

Section 1 - Conflict Minerals Disclosure

Item 1.01. Conflict Minerals Disclosure and Report.

Introduction

Horizon Global Corporation (“Horizon” or the “Company”) is a global designer, manufacturer and distributor of custom-engineered towing, trailering, cargo management and other related accessory products. Horizon is filing this Form SD (“Form SD”) pursuant to Rule 13p-1 under the Securities Exchange Act of 1934 (the “Rule”) for the reporting period January 1, 2017 to December 31, 2017 (the “Reporting Period”).

Horizon manufactures or contracts to manufacture “products” that may contain certain “conflict minerals” (as defined below) that are necessary to the functionality or production of such products. Form SD defines “conflict minerals” as: (i) (a) columbite-tantalite (or coltan, the metal ore from which tantalum is extracted), (b) cassiterite (the metal ore from which tin is extracted), (c) gold and (d) wolframite (the metal ore from which tungsten is extracted), or their derivatives; or (ii) any other mineral or its derivatives determined by the U.S. Secretary of State to be financing conflict in the Democratic Republic of the Congo or an adjoining country (collectively, the “Covered Countries”).

Conflict Minerals Disclosures

In accordance with the Rule and Form SD, Horizon has conducted a good faith reasonable country of origin inquiry (“RCOI”) regarding the conflict minerals included in its products during the Reporting Period to determine whether any of such conflict minerals originated in the Covered Countries and/or whether any of such conflict minerals may be from recycled or scrap sources.

In accordance with the Rule, Horizon has filed this Form SD and the associated Conflict Minerals Report, each of which are posted to Horizon’s publicly available internet site at www.horizonglobal.com.

Item 1.02. Exhibit.

A description of the RCOI and the measures Horizon took to exercise due diligence on the source and chain of custody of certain of its conflict minerals is provided in the Conflict Minerals Report attached hereto as Exhibit 1.01. The content on, or accessible through, any website referred to in this Form SD is not incorporated by reference into this Form SD unless expressly noted.

Section 2 - Exhibits

Item 2.01. Exhibits.

Exhibit Number Description

1.01 Conflict Minerals Report of Horizon Global Corporation

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Horizon Global Corporation

/s/ Jay Goldbaum

Date: May 30, 2018

Name: Jay Goldbaum

Title: General Counsel, Chief Compliance Officer and Corporate Secretary