

Edgar Filing: CASTLE A M & CO - Form 4

CASTLE A M & CO
Form 4
March 11, 2002

FORM 4 [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940	OMB APPROVAL OMB Number: 3235-0287 Expires: December 31, 2001 Estimated average burden hours per response. . . . 0.5
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1. Name and Address of Reporting Person* Hall, William K. (Last) (First) (Middle) 855 Lamson Drive (Street) Winnetka, IL 60093 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol A. M. Castle & Co. CAS 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	4. Statement for (Month/Year) February 2002 5. If Amendment, Date of Original (Month/Year)	6. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☒ Director _____ 10% Owner _____ Officer _____ Other _____ Officer/Other Description _____ 7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Individual Filing ☐ Joint/Group Filing
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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code and Voluntary Code (Instr. 8)	4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5)	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)	6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code V	Amount A/D Price			
Common Stock				1,053.0000	D	

(over)
SEC 1474 (3-99)

Hall, William K. - February 2002

Form 4 (continued)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	4. Transaction Code and Voluntary (V) Code (Instr.8)	5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned at End of Month (Instr.4)	10. Owner- ship Form of Deriv- ative Security: Direct (D) or Indirect (I)	11. Nature of Indirect Beneficial Ownership (Instr.4)
			Code I V		(DE) (ED)					
2001 Stock Option (Directors)	\$13.00	06/01/2001	A	(A) 5,000.00	06/01/2002 06/01/2011	Common Stock - 5,000.00		5,000.00	D	
SAR	\$0.00	02/28/2002	J	(A) 4,215.42 (1)		Common Stock - 4,215.42		57,333.79	D	
			V							
1995 Stock Option (Directors)	\$12.10				06/01/1996 06/01/2005	Common Stock - 1,250.00		1,250.00	D	
1996 Stock Option (Directors)	\$28.25				06/03/1997 06/02/2006	Common Stock - 1,000.00		1,000.00	D	
1997 Stock Option (Directors)	\$21.88				06/02/1998 06/01/2007	Common Stock - 1,500.00		1,500.00	D	
1998 Stock Option (Directors)	\$22.44				06/01/1999 06/01/2008	Common Stock - 1,500.00		1,500.00	D	
1999 Stock Option (Directors)	\$15.06				06/01/2000 06/01/2009	Common Stock - 1,500.00		1,500.00	D	
2000 Stock Option (Directors)	\$12.31				06/01/2001 06/01/2010	Common Stock - 1,500.00		1,500.00	D	
2000 Stock Option (Directors)	\$10.00				07/27/2001 07/27/2010	Common Stock - 3,500.00		3,500.00	D	

Explanation of Responses :

** Intentional misstatements or omissions of facts _____
constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). ** Signature of Reporting Person
Date

Note: File three copies of this Form, one of
which must be manually signed. If space is
insufficient,

See Instruction 6 for procedure.

**Jerry M. Aufox under Power of Attorney for
William K. Hall**

Potential persons who are to respond to the
collection of information contained in this form
are not
required to respond unless the form displays a

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currently valid OMB number.

Hall, William K. - February 2002

Form 4 (continued)

FOOTNOTE Descriptions for A. M. Castle & Co. CAS

Form 4 - February 2002

William K. Hall
855 Lamson Drive

Winnetka, IL 60093

Explanation of responses:

(1) Voluntary reporting of SAR

Page 3