

AMERICAN ELECTRIC POWER CO INC  
Form U-9C-3  
August 28, 2003

File No. 074-00015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM U-9C-3  
QUARTERLY REPORT

For the quarter ended June 30, 2003

Filed Pursuant to Rule 58 of the Public Utility Holding Company  
Act of 1935

by  
AMERICAN ELECTRIC POWER COMPANY, INC.  
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.  
FORM U-9C-3  
For the Quarter Ended June 30, 2003

CONTENTS

	Page
	----
ITEM 1 - Organization Chart	1
ITEM 2 - Issuances and Renewals of Securities and Capital Contributions	8
ITEM 3 - Associated Transactions	9

## Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

ITEM 4 - Summary of Aggregate Investment	10
ITEM 5 - Other Investments	10
ITEM 6 - Financial Statements and Exhibits	11
Statements of Income	
Balance Sheet	
Exhibits	

### ITEM 1 - ORGANIZATION CHART

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company. The reference code for each subsidiary refers to the supplemental schedule to the organization chart that provides details regarding date and state of organization, nature of business, etc. required to be reported under Item 1.

Refer

- 00. American Electric Power Company, Inc.
  - 01. American Electric Power Service Corporation
    - 01. AEP C&I Company, LLC
      - 02. AEP Ohio Commercial & Industrial Retail Company, LLC
      - 02. AEP Texas Commercial & Industrial Retail GP, LLC
        - 03. AEP Texas Commercial & Industrial Retail Limited Partnership
      - 02. AEP Texas Commercial & Industrial Retail Limited Partnership
      - 02. AEP Gas Power GP, LLC
        - 03. AEP Gas Power Systems, LLC
      - 02. AEP Retail Energy LLC
      - 02. REP Holdco, LLC
        - 03. Mutual Energy SWEPCO LLC
        - 03. REP General Partner LLC
        - 04. Mutual Energy SWEPCO LLC
    - 01. AEP Coal, Inc.
      - 02. Snowcap Coal Company, Inc.
      - 02. AEP Kentucky Coal, LLC
      - 02. AEP Ohio Coal, LLC
      - 02. AEP West Virginia Coal, Inc.
      - 02. Leesville Land, LLC
      - 02. Springdale Land, LLC
    - 01. AEP Energy Services, Inc.
    - 01. AEP Investments, Inc.
      - 02. AEP EmTech, LLC
        - 03. Universal Supercapacitors, LLC
        - 03. Integrated Fuel Cell Technologies, Inc.
        - 03. Distribution Vision 2010, LLC
      - 02. Dynelec, Inc.
      - 02. Energy Trading Platform Holding Company, Inc.
      - 02. Intercontinental Exchange Inc.
      - 02. PowerSpan Corp.
      - 02. AEMT, Inc.
      - 02. Enerwise Global Technologies, Inc.
      - 02. Powerware Solutions, Inc.
      - 02. PHPK Technologies, Inc.
    - 01. Mutual Energy L.L.C.
      - 02. AEP Ohio Retail Energy, LLC
    - 01. AEP Power Marketing, Inc.

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

- 02. AEP Coal Marketing, LLC
- 02. AEP Emissions Marketing, LLC
- 01. AEP T&D Services, LLC
- 01. AEP Pro Serv, Inc.
  - 02. Diversified Energy Contractors Company, LLC
  - 03. DECCO II LLC
    - 04. Diversified Energy Contractors, LP
  - 03. Diversified Energy Contractors, LP
  - 03. Industry and Energy Associates, L.L.C.
- 02. United Sciences Testing, Inc.
- 01. AEP Texas POLR, LLC
  - 02. AEP Texas POLR GP, LLC
    - 03. POLR Power, L.P.
  - 02. POLR Power, L.P.
- 01. AEP Utilities, Inc.
  - 02. Southwestern Electric Power Company
    - 03. The Arkklahoma Corporation
    - 03. Southwest Arkansas Utilities Corporation
    - 03. Dolet Hills Lignite Company, LLC
  - 02. CSW Energy, Inc.
    - 03. CSW Development-I, Inc.
    - 04. Polk Power GP II, Inc.
      - 05. Polk Power GP, Inc.
        - 06. Polk Power Partners, LP
        - 07. Mulberry Holdings, Inc.
    - 04. CSW Mulberry II, Inc.
      - 05. CSW Mulberry, Inc.
    - 04. Polk Power Partners, LP
      - 05. Mulberry Holdings, Inc.
    - 04. Noah I Power GP, Inc.
      - 05. Noah I Power Partners, LP
        - 06. Brush Cogeneration Partners
      - 04. Noah I Power Partners, LP
        - 05. Brush Cogeneration Partners
      - 04. Orange Cogeneration GP II, Inc.
        - 05. Orange Cogeneration G.P., Inc.
          - 06. Orange Cogeneration Limited Partnership
          - 07. Orange Cogen Funding Corp.
          - 08. Orange Holdings, Inc.
      - 04. CSW Orange II, Inc.
        - 05. CSW Orange, Inc.
          - 06. Orange Cogeneration Limited Partnership
          - 07. Orange Cogen Funding Corp.
          - 08. Orange Holdings, Inc.
    - 03. CSW Ft. Lupton, Inc.
      - 04. Thermo Cogeneration Partnership, L.P.
    - 03. CSW Sweeny GP I, Inc.
      - 04. CSW Sweeny GP II, Inc.
        - 05. Sweeney Cogeneration Limited Partnership
    - 03. CSW Sweeny LP I, Inc.
      - 04. CSW Sweeny LP II, Inc.
        - 05. Sweeney Cogeneration Limited Partnership
    - 03. CSW Power Marketing, Inc.
    - 03. CSW Services International, Inc.
    - 03. CSW Eastex GP I, Inc.
      - 04. CSW Eastex GP II, Inc.
        - 05. Eastex Cogeneration Limited Partnership
    - 03. CSW Eastex LP I, Inc.
      - 04. CSW Eastex LP II, Inc.
        - 05. Eastex Cogeneration Limited Partnership
    - 02. EnerShop Inc.
    - 02. CSW Energy Services, Inc.

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

- 03. Nuvest, L.L.C.
- 04. National Temporary Services, Inc.
- 05. Octagon, Inc.
- 04. Numanco, L.L.C.
- 05. NuSun, Inc.
- 06. Sun Technical Services, Inc.
- 06. Calibration and Testing Corporation
- 05. ESG, L.L.C.
- 05. Numanco Services, LLC

	NAME OF REPORTING COMPANY -----	ENERGY-OR GAS-RELATED COMPANY -----	DATE OF ORGANIZATION -----	STATE OF ORGANIZATION -----	PERC OF V SECU H
1	AEP C&I Company, LLC	Energy	10/10/00	Delaware	100
2	AEP Ohio Commercial & Industrial Retail Company, LLC	Energy	10/10/00	Delaware	100
3	AEP Texas Commercial & Industrial Retail GP, LLC	Energy	12/11/00	Delaware	100
4	AEP Texas Commercial & Industrial Retail Limited Partnership	Energy	12/12/00	Delaware	100
5	AEP Gas Power GP, LLC	Energy	12/19/00	Delaware	100
6	AEP Gas Power Systems, LLC	Energy	07/09/96	Delaware	75
7	AEP Coal, Inc.	Energy	10/29/01	Nevada	100
8	Snowcap Coal Company, Inc.	Energy	10/29/01	Delaware	100
9	AEP Kentucky Coal, LLC	Energy	10/29/01	Delaware	100
10	AEP Ohio Coal, LLC	Energy	10/26/01	Delaware	100
11	AEP West Virginia Coal, Inc.	Energy	10/29/01	Nevada	100
12	AEP Energy Services, Inc.	Energy	09/24/96	Ohio	100
13	Energy Trading Platform Holding Company, Inc.	Energy	04/28/00	Delaware	16
14	Intercontinental Exchange, Inc.	Energy	06/16/00	Delaware	5
15	AEP EmTech, LLC	Energy	01/03/01	Delaware	100
16	Mutual Energy SWEPCO LLC	Energy	04/04/01	Delaware	100
17	REP General Partner LLC	Energy	04/04/01	Delaware	100
18	Universal Supercapacitors, LLC	Energy	04/16/02	Delaware	50
19	Mutual Energy L.L.C.	Energy	12/17/99	Delaware	100

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

20	AEP Coal Marketing, LLC	Energy	01/22/03	Delaware	100
21	AEP Ohio Retail Energy, LLC	Energy	09/05/00	Delaware	100
22	AEP Power Marketing, Inc.	Energy	07/22/96	Ohio	100
23	AEP T&D Services, LLC	Energy	12/12/00	Delaware	100
24	Powerspan Corp	Energy	05/14/97	Delaware	9
25	PHPK Technologies, Inc.	Energy	07/01/91	Ohio	40
26	AEP Retail Energy LLC	Energy	06/28/00	Delaware	100
27	AEP Texas POLR, LLC (aka AEP Texas Retail GP, LLC)	Energy	12/11/00	Delaware	100
28	AEP Texas POLR GP, LLC	Energy	11/14/01	Delaware	100
29	POLR Power, L.P.	Energy	11/14/01	Delaware	100
30	CSW Power Marketing, Inc.	Energy		Delaware	100
31	AEMT, Inc.	Energy	12/23/97	Florida	27
32	Powerware Solutions, Inc.	Energy	02/15/94	Texas	4
33	Integrated Fuel Cell Technologies, Inc.	Energy	04/04/01	Delaware	0
34	The Arklahoma Corporation	Energy	05/16/47	Arkansas	44
35	Enerwise Global Technologies, Inc.	Energy	03/22/28	Arkansas	100
36	Dolet Hills Lignite Company, LLC	Energy	03/02/01	Delaware	5
37	CSW Development-I, Inc.	Energy	12/06/90	Delaware	100
38	Polk Power GP II, Inc.	Energy	03/20/95	Delaware	50
39	Polk Power GP, Inc.	Energy	09/18/91	Delaware	100
40	Polk Power Partners, LP	Energy	02/19/92	Delaware	1
41	Mulberry Holdings, Inc.	Energy	10/28/99	Delaware	100
42	CSW Mulberry II, Inc.	Energy	03/21/95	Delaware	100
43	CSW Mulberry, Inc.	Energy	02/03/94	Delaware	100
44	Noah I Power GP, Inc.	Energy	05/14/91	Delaware	100
45	Noah I Power Partners, LP	Energy	05/16/91	Delaware	1
46	Brush Cogeneration Partners	Energy	06/17/94	Delaware	50
47	Orange Cogeneration GP II, Inc.	Energy	03/16/95	Delaware	50
48	Orange Cogeneration G.P., Inc.	Energy	02/05/93	Delaware	100
49	Orange Cogeneration Limited Partnership	Energy	02/05/93	Delaware	1
50	Orange Cogen Funding Corp.	Energy	06/11/92	Delaware	100
51	Orange Holdings, Inc.	Energy	10/28/99	Delaware	100
52	CSW Orange II, Inc.	Energy	03/16/95	Delaware	100
53	CSW Orange, Inc.	Energy	04/21/93	Delaware	100

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

54	AEP Emissions Marketing, LLC	Energy	01/22/03	Delaware	100
55	CSW Ft. Lupton, Inc.	Energy	04/01/93	Delaware	100
56	Thermo Cogeneration Partnership, L.P.	Energy	03/17/93	Delaware	50
57	CSW Sweeny GP I, Inc.	Energy	09/06/95	Delaware	100
58	CSW Sweeny GP II, Inc.	Energy	09/06/95	Delaware	100
59	Sweeney Cogeneration Limited Partnership	Energy	10/10/95	Delaware	50
60	CSW Sweeny LP I, Inc.	Energy	09/06/95	Delaware	100
61	CSW Sweeny LP II, Inc.	Energy	09/06/95	Delaware	100
62	Leesville Land, LLC	Energy	02/11/03	Delaware	100
63	CSW Services International, Inc.	Energy	03/19/97	Delaware	100
64	Diversified Energy Contractors Company, LLC	Energy	07/03/97	Delaware	100
65	DECCO II LLC	Energy	08/08/97	Delaware	100
66	Diversified Energy Contractors, LP	Energy	08/18/98	Delaware	100
67	Industry and Energy Associates, L.L.C.	Energy	05/26/98	Delaware	100
68	CSW Eastex GP I, Inc.	Energy	09/04/98	Delaware	100
69	CSW Eastex GP II, Inc.	Energy	09/04/98	Delaware	100
70	Eastex Cogeneration Limited Partnership	Energy	09/09/98	Delaware	50
71	CSW Eastex LP I, Inc.	Energy	09/04/98	Delaware	100
72	CSW Eastex LP II, Inc.	Energy	09/04/98	Delaware	100
73	EnerShop Inc.	Energy	09/11/95	Delaware	100
74	Springdale Land, LLC	Energy	02/11/03	Delaware	100
75	CSW Energy Services, Inc.	Energy	09/24/97	Delaware	100
76	Nuvest, L.L.C.	Energy	02/20/96	Delaware	92
77	National Temporary Services, Inc.	Energy	12/31/90	Pennsylvania	100
78	Octagon, Inc.	Energy	11/05/93	Delaware	100
79	Numanco, L.L.C.	Energy	04/08/96	Oklahoma	100
80	NuSun, Inc.	Energy	04/26/88	Delaware	100
81	Sun Technical Services, Inc.	Energy	01/16/91	California	100
82	Calibration and Testing Corporation	Energy	01/02/80	California	100
83	ESG, L.L.C.	Energy	11/27/96	Oklahoma	50
84	Numanco Services, LLC	Energy	04/08/96	Oklahoma	100
85	REP Holdco, LLC	Energy	04/04/01	Delaware	100
86	United Sciences Testing, Inc.	Energy	05/07/97	Delaware	100

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

Company Issuing Security	Type of Security Issued	Principal Amount of Security	Issue or Renewal	Cost of Capital	Person to Whom Security Was Issued	Collateral Given With Security
-----	-----	-----	-----	-----	-----	-----

(in thousands)

NONE

FINANCING AMONG ASSOCIATE COMPANIES:

Company Issuing Security	Type of Security Issued	Principal Amount of Security	Issue or Renewal	Cost of Capital	Person to Whom Security Was Issued
-----	-----	-----	-----	-----	-----

(in thousands)

None

CAPITAL CONTRIBUTIONS:

Company Contributing Capital	Company Receiving Capital	Amount of Capital Contributions
-----	-----	-----

(in thousands)

None

ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed
-----	-----	-----	-----	-----	-----	-----

(in thousands)

Nuvest, LLC	AEP Texas Central Company - South Texas Project	Engineering	\$1,938	-	-	\$1,938
Nuvest, LLC	AEP Service Corporation - Regional Service Organization	Engineering	6,679	-	-	6,679

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

Nuvest, LLC	Indiana Michigan Company - D.C. Cook Plant	Engineering	7,314	-	-	7,314
Nuvest, LLC	Various AEP Utility Operating Companies	Engineering	4,899	-	-	4,899

Part II -- Transactions performed by associate companies on behalf of reporting companies

Associate Company Rendering Services -----	Reporting Company Receiving Services -----	Types of Services Rendered -----	Direct Costs Charged -----	Indirect Costs Charged -----  (in
American Electric Power Service Corporation	AEPES	Administrative & Marketing	\$ 1,042	\$2,381
Houston Pipeline	AEPES	Asset Management	6,250	-
Jefferson Island	AEPES	Asset Management	1,250	-
CSW Energy, Inc.	Polk Power Partners, L.P.	Plant Services	283	219
CSW Energy, Inc.	Orange Cogeneration L.P.	Plant Services	582	198
CSW Dev I, Inc.	Polk Power Partners, L.P.	Plant Services	90	-
CSW Services International Inc.	Eastex Cogeneration L.P.	Plant Services	1,349	389
CSW Services International Inc.	Sweeny Cogeneration L.P.	Plant Services	455	452
Total			=====	=====
			\$11,301	\$3,639
			=====	=====

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies: (in thousands)

Total consolidated capitalization as of June 30, 2003	\$22,307,324 (a)
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	3,346,099
Greater of \$50 million or line 2	\$3,346,099
Total current aggregate investment:	



## Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

(categorized by major line of energy-related business)

Energy-related Category 1: Energy Services	-	
Energy-related Category 5: Energy Marketing	1,609,517	
Energy-related Category 7: Maintenance Services	52,505	
Energy-related Category 8: Qualifying Facility	733,738	
Energy-related Category 10: Other Form of Energy	145,143	
Energy-related Category 2,3,4,6,9	64,530	
Total current aggregate investment		2,605,433

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)		\$ 740,666
--	--	------------

(a) Includes short-term debt.

Investments in gas-related companies:

-----  
NONE

### ITEM 5 - OTHER INVESTMENTS

Major Line of Energy-Related Business -----	Other Investment in Last U-9C-3 Report -----	Other Investment in This U-9C-3 Report -----	Reason for Difference in Other Investment -----
(in thousands)			
CSW Energy Services Invested prior to April 1, 1997	\$ 2,696	\$ 2,696	Amounts are excluded from Item
Qualifying facility Invested prior to April 1, 1997	194,644	194,644	Amounts are excluded from Item

### ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

#### Financial Statements:

Balance sheets and Income Statements for period ended June 30, 2003 are filed confidentially under a separate cover for:

- AEP C&I Company, LLC
- AEP Coal, Inc.
- AEP EmTech LLC
- AEP Energy Services, Inc.
- AEP Gas Power GP, LLC
- AEP Gas Power System GP, LLC
- AEP Ohio C&I Retail Company
- AEP Power Marketing, Inc.

## Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

AEP Retail Energy, LLC  
AEP T&D Services, LLC  
AEP Texas C&I Retail LP  
AEP Texas C&I Retail GP, LLC  
AEP Texas GP, LLC  
CSW Development-I, Inc. (consolidated)  
CSW Energy Consolidated  
CSW Energy Services, Inc.  
CSW Ft. Lupton, Inc.  
CSW Power Marketing, Inc.  
CSW Services International Inc.  
Diversified Energy Contractors Company (DECCO)  
Dolet Hills Lignite Company  
Eastex Cogeneration LP  
Enershop, Inc.  
Mutual Energy LLC (consolidated)  
Mutual Energy SWEPCO LLC  
Nuvest, LLC  
POLR Power, L.P.  
REP General Partner LLC  
Sweeny Companies (consolidated)

### Exhibits:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.

### CERTIFICATE

-----

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended June 30, 2003 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission  
302 West Washington Street, E 306  
Indianapolis, Indiana 46204

Kentucky State Commission  
211 Sower Boulevard  
P.O. Box 615 Frankfort, Kentucky 40602

Michigan Public Service Commission  
6545 Mercantile Way  
P.O. Box 30221 Lansing, Michigan 48909

Public Service Commission of West Virginia

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

201 Brooks Street  
P.O. Box 812  
Charleston, West Virginia 25323

Tennessee Regulatory Authority  
460 James Robertson Parkway  
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio  
180 East Broad Street  
Columbus, Ohio 43215

Virginia State Corporation Commission  
1300 East Main Street  
P.O. Box 2118 Richmond, Virginia 23216

Arkansas Public Service Commission  
1000 Center  
P.O. Box 400 Little Rock, AR 72203-0400

Louisiana Public Service Commission  
One American Place  
P.O. Box 91154 Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission  
2102 N. Lincoln Blvd.  
P.O. Box 52000-2000  
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas  
1701 N. Congress Avenue  
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 27th day of August, 2003.

/s/ Geoffrey S. Chatas  
-----

Geoffrey S. Chatas  
Treasurer

SIGNATURE  
-----

The undersigned system company has duly caused this quarterly report to be signed on its behalf by the undersigned, thereunto duly authorized, pursuant to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /s/ Geoffrey S. Chatas  
-----

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

Geoffrey S. Chatas  
Treasurer

August 27, 2003