### KANSAS CITY SOUTHERN

Form 5

January 11, 2016

#### **OMB APPROVAL** FORM 5 **OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

See Instruction

1(b).

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person * Ottensmeyer Patrick J			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			KANSAS CITY SOUTHERN [KSU]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended	· · · · · · · · · · · · · · · · · · ·			
			(Month/Day/Year)	Director 10% Owner	r		
			12/31/2015	_X_ Officer (give title Other (spec			
KANSAS CITY SOUTHERN, PO			12,61,2016	below) below)			
BOX 219335		11121011,71110		President			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Reporting			
			Filed(Month/Day/Year)				
			(	(check applicable line)			

### **KANSAS** CITY, MOÂ 64121-9335

(State)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3,	d (A) of (D) 4 and (A) or	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/09/2015	Â	G	1,440	D	\$0	53,057	D	Â	
Common Stock	Â	Â	Â	Â	Â	Â	243.442	I	By 401(k) & P/S Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 2270** (9-02)

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January 31,

2005

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		vative rities nired or osed o) r. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 35.41	Â	Â	Â	Â	Â	03/01/2013(1)	02/29/2020	Common Stock	6,850
Employee Stock Option (Right to Buy)	\$ 52.62	Â	Â	Â	Â	Â	(2)	02/22/2021	Common Stock	6,950
Employee Stock Option (Right to Buy)	\$ 66.99	Â	Â	Â	Â	Â	(2)	02/21/2022	Common Stock	4,690
Employee Stock Option (Right to Buy)	\$ 97.77	Â	Â	Â	Â	Â	(2)	02/20/2023	Common Stock	3,666
Employee Stock Option (Right to Buy)	\$ 94.23	Â	Â	Â	Â	Â	(2)	02/19/2024	Common Stock	3,876
Employee Stock Option (Right to Buy)	\$ 119.35	Â	Â	Â	Â	Â	(2)	02/17/2025	Common Stock	5,652

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Ottensmeyer Patrick J

KANSAS CITY SOUTHERN
PO BOX 219335

 Â Â President

KANSAS CITY, MOÂ 64121-9335

## **Signatures**

Adam J. Godderz, Attorney-in-fact 01/11/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Prior to March 1, 2013, this option may become exercisable in 1/3 increments if the daily closing price of Kansas City Southern's common stock on the New York Stock Exchange is greater than or equal to \$38.95, \$42.85, and \$47.14, respectively, for at least 30
- (1) consecutive trading days. The first target was met and 4,567 of these options became exercisable on November 19, 2010. The second target was met and 4,567 of these options became exercisable on December 8, 2010. The third target was met and 4,566 of these options became exercisable on January 7, 2011.
- (2) These options become exercisable in three equal installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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