May Phillip R Jr Form 4 August 17, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*\*
May Phillip R Jr

2. Issuer Name **and** Ticker or Trading Symbol

ENTERGY CORP / DE / [ETR]

3. Date of Earliest Transaction (Month/Day/Year) 08/15/2018

C/O ENTERGY CORPORATION LEGAL DEPARTMENT, 639 LOYOLA AVENUE, 26TH FLOOR

(Street)

(First)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

\_\_\_\_\_ Director \_\_\_\_\_ 10% Owner
\_\_\_\_\_ Officer (give title \_\_X\_\_ Other (specify below)

"Officer" Under Sec. 16 Rules

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Persor

#### NEW ORLEANS, LA 70113

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	08/15/2018		M	2,900	A	\$ 72.79	14,816	D		
Common Stock	08/15/2018		S(1)	2,900	D	\$ 85	11,916	D		
Common Stock	08/15/2018		M	2,000	A	\$ 64.6	13,916	D		
Common Stock	08/15/2018		S <u>(1)</u>	2,000	D	\$ 85	11,916	D		
Common Stock	08/15/2018		M	3,000	A	\$ 63.17	14,916	D		

#### Edgar Filing: May Phillip R Jr - Form 4

Common Stock	08/15/2018	S(1)	3,000	D	\$ 85 (2)	11,916	D	
Common Stock						6,593	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 72.79	08/15/2018		M	2,900	(3)	(3)	Common Stock	2,900	\$
Employee Stock Option (Right to Buy)	\$ 64.6	08/15/2018		M	2,000	<u>(4)</u>	<u>(4)</u>	Common Stock	2,000	\$
Employee Stock Option (Right to Buy)	\$ 63.17	08/15/2018		M	3,000	<u>(5)</u>	<u>(5)</u>	Common Stock	3,000	\$

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Reporting Owners 2

May Phillip R Jr C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113

"Officer" Under Sec. 16 Rules

### **Signatures**

/s/ Daniel T. Falstad by power of attorney

08/17/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 5, 2018.
  - The price reported in column 4 is a weighted average price. The shares were sold in multiple transactions ranging from \$85.00 to \$85.02.
- (2) The reporting person undertakes to provide to Entergy, any security holder of Entergy or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the range set forth in this footnote.
- (3) The options vested in three equal annual installments on January 27, 2012, 2013 and 2014.
- (4) The options vested in three equal annual installments on January 31, 2014, 2015 and 2016.
- (5) The options vested in three equal annual installments on January 30, 2015, 2016 and 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3