

NEUBERGER BERMAN REAL ESTATE SECURITIES INCOME FUND INC

Form 3

September 27, 2012

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Â SUN LIFE INSURANCE &
ANNUITY CO OF NEW YORK

(Last) (First) (Middle)

ONE GRAND CENTRAL
PLACE,Â 60 EAST 42ND
STREET, SUITE 1115

(Street)

NEW YORK,Â NYÂ 10165

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
09/26/20123. Issuer Name **and** Ticker or Trading SymbolNEUBERGER BERMAN REAL ESTATE SECURITIES
INCOME FUND INC [NRO]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)6. Individual or Joint/Group
Filing(Check Applicable Line)☐ Form filed by One Reporting
Person☒ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)4.00% Mandatory Redeemable Preferred
Shares due 9/14/2017

520

D

Â

4.00% Mandatory Redeemable Preferred
Shares due 9/14/2017

520

I

Sun Life Assurance Company of
Canada (U.S.) owns all of the
common stock of Sun Life
Insurance and ⁽¹⁾4.00% Mandatory Redeemable Preferred
Shares due 9/14/2017

520

I

Sun Life Financial Inc. indirectly
owns all of the outstanding
common stock of ⁽²⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SUN LIFE INSURANCE & ANNUITY CO OF NEW YORK ONE GRAND CENTRAL PLACE 60 EAST 42ND STREET, SUITE 1115 NEW YORK, NY 10165	Â	Â X	Â	Â
Sun Life Assurance Co of Canada (U.S.) ONE SUN LIFE EXECUTIVE PARK, SC 1303 WELLESLEY HILLS, MA 02481	Â	Â X	Â	Â
SUN LIFE FINANCIAL INC 150 KING STREET WEST SUITE 1400 TORONTO, ON M5H 1J9	Â	Â X	Â	Â

Signatures

/s/ John T. Donnelly, Senior Managing Director, Investments Strategic Research and Initiatives, on behalf of Sun Life Assurance Company of Canada (U.S.) and Sun Life Insurance and Annuity Company of New York	09/27/2012
__Signature of Reporting Person	Date
/s/ Ann C. King, Authorized Signer on behalf of Sun Life Assurance Company of Canada (U.S.) and Sun Life Insurance and Annuity Company of New York	09/27/2012
__Signature of Reporting Person	Date
/s/ Stephen Peacher, Authorized Signer on behalf of Sun Life Financial Inc.	09/27/2012
__Signature of Reporting Person	Date
/s/ Angelo Manioudakis, Authorized Signer on behalf of Sun Life Financial Inc.	09/27/2012
__Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Annuity Company of New York, which owns the securities indicated.

Sun Life Insurance and Annuity Company of New York which owns the securities indicated, through a series of holding companies. Sun Life Financial Inc. owns all of the common stock of Sun Life Global Investments Inc., which owns all of the common stock of Sun Life Assurance Company of Canada-U.S. Operations Holdings, Inc., which owns all of the common stock of Sun Life Financial (U.S.)

(2) Holdings, Inc., which owns all of the membership interests of Sun Life Financial (U.S.) Investments LLC, which owns all of the common stock of Sun Life of Canada (U.S.) Holdings, Inc., which owns all of the common stock of Sun Life Assurance Company of Canada (U.S.), an operating company which owns all of the common stock of Sun Life Insurance and Annuity Company of New York, which owns the securities indicated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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