Hurlock David Form 4 January 04, 2019

# FORM 4

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Hurlock David Issuer Symbol **HEALTHCARE SERVICES** (Check all applicable) **GROUP INC [HCSG]** (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) 3220 TILLMAN DRIVE, SUITE 01/02/2019 Executive Vice President & COO 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### BENSALEM, PA 19020

(City)	(State)	(Zip) Tabl	e I - Non-D	Perivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/02/2019		Code V A	Amount 622 (1)	` ′	Price \$ 34.15	6,526	D	
Common Stock	01/03/2019		M	125	A	\$ 0	6,651	D	
Common Stock	01/04/2019		M	300	A	\$ 0	6,951	D	
Common Stock	01/04/2019		M	1,200	A	\$ 0	8,151	D	
Common Stock	01/04/2019		M	300	A	\$ 0	8,451	D	

**OMB APPROVAL** 

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Common Stock	01/04/2019	F	491	D	\$ 0	7,960	D
Common Stock	01/05/2019	M	225	A	\$ 0	8,185	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Phantom Stock	(2)	01/02/2019		A	563 (3)		<u>(4)</u>	<u>(4)</u>	Common Stock	563
Restricted Stock	(2)	01/03/2019		M		125	(5)	(5)	Common Stock	125
Restricted Stock Units	(2)	01/04/2019		M		300	<u>(7)</u>	<u>(7)</u>	Common Stock	300
Restricted Stock Units	(2)	01/04/2019		M		1,200	<u>(8)</u>	(8)	Common Stock	1,20
Restricted Stock	(2)	01/04/2019		M		300	<u>(9)</u>	<u>(9)</u>	Common Stock	300
Restricted Stock Units	<u>(2)</u>	01/04/2019		A	8,500		(10)	(10)	Common Stock	8,50
Stock Option (right to buy)	\$ 40.49	01/04/2019		A	10,000		<u>(11)</u>	01/04/2029	Common Stock	10,00
Restricted Stock	(2)	01/05/2019		M		225	(12)	(12)	Common Stock	225

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hurlock David

3220 TILLMAN DRIVE

SUITE 300 BENSALEM, PA 19020 Executive Vice President & COO

## **Signatures**

John C. Shea, by Power of Attorney

01/04/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired by the Reporting Person through participation in the Healthcare Services Group, Inc. Employee Stock Purchase Plan.
- (2) Shares issued at the conversion rate of 1-for-1.
- (3) Acquired pursuant to an Issuer contribution under the Healthcare Services Group, Inc. Deferred Compensation Plan.
- (4) Shares of Phantom Stock are payable in-kind following termination of the Reporting Person's employment with Issuer.
- (5) These Restricted Stock Awards shall vest at the rate of 20% annually, commencing on the first anniversary of the January 3, 2014 grant date.
- (6) This grant was made prior to the Reporting Person becoming a Section 16(a) filer, and as of the date of filing, a portion of this grant has already vested.
- (7) These Restricted Stock Units shall vest at the rate of 20% annually, commencing on the first anniversary of the January 4, 2017 grant date.
- (8) These Restricted Stock Units shall vest at the rate of 20% annually, commencing on the first anniversary of the January 4, 2018 grant
- (9) These Restricted Stock Awards shall vest at the rate of 20% annually, commencing on the first anniversary of the January 4, 2016 grant
- (10) These Restricted Stock Units shall vest and become exercisable at the rate of 20% annually, commencing on the first anniversary of the January 4, 2019 grant date.
- (11) These options shall vest and become exercisable at the rate of 20% annually, commencing on the first anniversary of the January 4, 2019 grant date.
- (12) These Restricted Stock Awards shall vest at the rate of 20% annually, commencing on the first anniversary of the January 5, 2015 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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