

Edgar Filing: SEITEL INC - Form 5

SEITEL INC
Form 5
February 12, 2002

Form 5 UNITED STATES SECURITIES AND
EXCHANGE COMMISSION
Washington, DC 20549

OMB
APPROVAL
OMB Number:
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___ Check box if
no longer subject
to

Section 16. ANNUAL STATEMENT OF CHANGES
Form 4 or Form 5 BENEFICIAL OWNERSHIP
obligations
may continue.

See Instruction

1(b). Filed pursuant to Section 16(a) of the
Securities Exchange Act of 1934, Section
17(a) of the Public Utility Holding
Company Act of 1935 or Section 30(f) of
the Investment Company Act of 1940

___ Form 3 Holdings
Reported

___ Form 4
Transactions Reported

| | | | |
|---|---|--|--|
| 1. Name and Address of Reporting Person* Stieglitz, John E. | 2. Issuer Name and Ticker or Trading Symbol Seitel, Inc. SEI | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director _____ <input type="checkbox"/> 10% Owner _____ <input type="checkbox"/> Officer _____ (give title below) <input type="checkbox"/> Other (specify below) _____ | |
| (Last) (First) (Middle) 50 Briar Hollow Lane, 7 th Floor West | 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) | 4. Statement for Month/Year 12/01 | |
| (Street) Houston, Texas 77027 | 5. If Amendment, Date of Original (Month/Year) | 7. Individual or Joint/Group Reporting (check applicable line) <input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person | |
| (City) (State) (Zip) | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect |
|----------------------|---------------------|---------------------|-------------------------------|-------------------------|---------------------------|-----------------------|
|----------------------|---------------------|---------------------|-------------------------------|-------------------------|---------------------------|-----------------------|

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| (Instr. 3) | (Month/Day/Year) | (Instr. 8) | Disposed of (D) (Instr. 3, 4 and 5) | | | Beneficially Owned at the end of Issuer's Fiscal Year (Instr. 3 and 4) | (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) |
|-------------------------------------|------------------|------------|--|------------------|-------|--|--------------------------------------|---------------------------------------|
| | | | Amount | (A) or (D) | Price | | | |
| Common Stock, par value \$.01 | | | | | | 9,085 | D | |
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* If the form is filed by more than one reporting person, see instruction 4(b)(v).

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts calls warrants options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans- action Date (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu- De Sec Bene Ow End of (In |
|---|--|---|--|--|-----|--|--------------------|--|--|---|---|
| | | | | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
| Options-Right to Buy | \$18.26 | 6/5/01 | A | 2,000 | | 6/5/02 | 6/5/06 | Common Stock | 2,000 | | |
| Options-Right to Buy | \$11.00 | 8/31/01 | A | 11,250 | | 8/31/02 | 8/31/11 | Common Stock | 11,250 | | 76, |
| | | | | | | | | | | | |
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Explanation of Responses:

John E. Stieglitz
by: /s/ Marcia H. Kendrick
**Signature of Reporting Person

2/12/02
Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.