**BIG LOTS INC** Form 4 March 09, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Bachmann Lisa M

(First)

(Middle)

(7:m)

300 PHILLIPI ROAD

(Last)

(City)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

**BIG LOTS INC [BIG]** 

3. Date of Earliest Transaction (Month/Day/Year)

03/08/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

below)

**Executive Vice President** 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

COLUMBUS, OH 43228

| (City)                 | (State) (                             | Table Table                   | e I - Non-D      | erivative S | Securi           | ties Acq     | uired, Disposed o                              | f, or Beneficial                     | ly Owned                              |
|------------------------|---------------------------------------|-------------------------------|------------------|-------------|------------------|--------------|--|--------------------------------------|---------------------------------------|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year)  | 2A. Deemed Execution Date, if | 3.<br>Transactio | 4. Securit  |                  | •            | 5. Amount of Securities                        | 6. Ownership Form: Direct            | 7. Nature of Indirect                 |
| (Instr. 3)             | , , , , , , , , , , , , , , , , , , , | any<br>(Month/Day/Year)       | Code (Instr. 8)  | (Instr. 3,  | and 5            | 5)           | Beneficially<br>Owned<br>Following             | (D) or<br>Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|                        |                                       |                               | Code V           | Amount      | (A)<br>or<br>(D) | Price        | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                                      |                                       |
| Common<br>Stock        | 03/08/2016                            |                               | F(1)             | 11,165      | D                | \$<br>45.5   | 92,501   | D                                    |                                       |
| Common<br>Stock        | 03/08/2016                            |                               | S(2)             | 18,835      | D                | \$ 45<br>(3) | 73,666   | D                                    |                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: BIG LOTS INC - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc       | cisable and          | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------------|----------------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration D        | ate                  | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/         | Year)                | Under   | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e                   |                      | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities |                     |                      | (Instr. | 3 and 4) |             | Own    |
|             | Security    |                     |                    |                   | Acquired   |                     |                      |         |          |             | Follo  |
|             | •           |                     |                    |                   | (A) or     |                     |                      |         |          |             | Repo   |
|             |             |                     |                    |                   | Disposed   |                     |                      |         |          |             | Trans  |
|             |             |                     |                    |                   | of (D)     |                     |                      |         |          |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3, |                     |                      |         |          |             |        |
|             |             |                     |                    |                   | 4, and 5)  |                     |                      |         |          |             |        |
|             |             |                     |                    |                   |            |                     |                      |         | A        |             |        |
|             |             |                     |                    |                   |            |                     |                      |         | Amount   |             |        |
|             |             |                     |                    |                   |            | Date<br>Exercisable | Expiration<br>e Date | of      |          |             |        |
|             |             |                     |                    |                   |            |                     |                      |         |          |             |        |
|             |             |                     |                    | C 1 W             | (A) (D)    |                     |                      |         |          |             |        |
|             |             |                     |                    | Code V            | (A) (D)    |                     |                      |         | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Bachmann Lisa M 300 PHILLIPI ROAD COLUMBUS, OH 43228

**Executive Vice President** 

### **Signatures**

Joseph Y. Heuer, Attorney-in-fact for Lisa M. Bachmann

03/09/2016

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person elected, pursuant to a plan intended to comply with Rule 10b5-1, to allow the issuer to withhold common shares to satisfy taxes applicable to the vesting of an equity award.
- (2) The sale reported in this Form 4 was made pursuant to a plan intended to comply with Rule 10b5-1.
- The value represents the weighted average sale price for the transactions reported on the corresponding line. The actual sales prices for the transactions reported on the corresponding line ranged from \$44.52 to \$45.40. The reporting person undertakes to provide (upon request by the SEC, the issuer or a security holder of the issuer) full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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