Habit Restaurants, Inc. Form 3 April 22, 2016

#### FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Habit Restaurants, Inc. [HABT] À GOLDMAN SACHS GROUP (Month/Day/Year) **INC** 04/20-04:00/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 200 WEST STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner Form filed by One Reporting Officer Other Person NEW YORK, NYÂ 10282 (give title below) (specify below) \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Shares 1,559,435 I See footnotes (1) (2) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Expiration Date	Title	Derivative Security	Security:	

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	Date Exercisable			Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Call Option (right to purchase)	(3)	05/20-04:00/2016	Common Shares	1,300	\$ 18	I	See footnotes (1) (4)
Call Option (right to purchase)	(3)	05/20-04:00/2016	Common Shares	100	\$ 20	I	See footnotes (1) (4)
Call Option (right to purchase)	(3)	05/20-04:00/2016	Common Shares	100	\$ 23	I	See footnotes (1) (4)
Call Option (right to purchase)	(3)	05/20-04:00/2016	Common Shares	500	\$ 19	I	See footnotes $(1)$ $(4)$
Call Option (right to purchase)	(3)	09/16-04:00/2016	Common Shares	500	\$ 12	I	See footnotes (1) (4)
Call Option (obligation to sell)	(3)	06/17-04:00/2016	Common Shares	2,900	\$ 20	I	See footnotes $(1)$ $(4)$
Put Option (right to sell)	(3)	05/20-04:00/2016	Common Shares	600	\$ 17	I	See footnotes $(1)$ $(4)$
Put Option (right to sell)	(3)	06/17-04:00/2016	Common shares	200	\$ 24	I	See footnotes $(1)$ $(4)$
Put Option (obligation to purchase)	(3)	09/16-04:00/2016	Common Shares	700	\$ 10	I	See footnotes $(1)$ $(4)$
Security Based Swap (call equivalent)	(5)	12/29-05:00/2025	Common Shares	44,653	\$ <u>(5)</u>	I	See footnotes (1) (4) (5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
GOLDMAN SACHS GROUP INC 200 WEST STREET NEW YORK, NY 10282	Â	Â	Â	Â	
GOLDMAN SACHS & CO 200 WEST STREET NEW YORK, NY 10282	Â	Â	Â	Â	

# **Signatures**

/s/ Yvette Kosic, Attorney-in-fact	04/22-04:00/2016		
**Signature of Reporting Person	Date		
/s/ Yvette Kosic, Attorney-in-fact	04/22-04:00/2016		
**Signature of Reporting Person	Date		

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#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This statement is being filed by The Goldman Sachs Group, Inc. ("GS Group") and Goldman, Sachs & Co. ("Goldman Sachs," and together with GS Group, the "Reporting Persons"). Goldman Sachs is a wholly-owned subsidiary of GS Group. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of its pecuniary interest therein.
- Goldman Sachs beneficially owns directly and GS Group may be deemed to beneficially own indirectly 1,559,435 shares of common (2) stock, par value \$0.01 per share ("Common Stock") of Habit Restaurants, Inc. (the "Company"), and Goldman Sachs holds open short positions of 156,288 shares of Common Stock.
- (3) Immediately exercisable.
- (4) The securities reported herein are beneficially owned directly by Goldman Sachs and may be deemed to be beneficially owned indirectly by GS Group.
- Goldman Sachs International ("GSI"), a wholly-owned subsidiary of the GS Group, entered into a security-based swap agreement under which GSI is obligated to make payments to its counterparty, or GSI's counterparty is obligated to make payments to GSI, as the case may be, based on changes in the price of the Company's common shares. In addition, the counterparty is obligated to make floating rate payments to GSI based on the notional size of the transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.