

FIRST ALBANY COMPANIES INC

Form 8-K

October 17, 2003

## SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

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### FORM 8-K

#### CURRENT REPORT

#### PURSUANT TO SECTION 13 or 15 (d) OF THE SECURITIES EXCHANGE ACT of 1934

October 17, 2003

(Date of Report - date of earliest event reported)

First Albany Companies Inc. \_\_\_\_\_

(Exact name of registrant as specified in its charter)

New York \_\_\_\_\_ 0-14140 \_\_\_\_\_ 22-2655804 \_\_\_\_\_

(State of Other Jurisdiction of Incorporation) (Commission File Number) (IRS Employer Identification No.)

30 South Pearl Street, Albany, New York 12207 \_\_\_\_\_

(Address of Principal Executive Offices) (Zip Code)

(518) 447-8500 \_\_\_\_\_

(Registrant's telephone number, including area code)

\_\_\_\_\_  
(Former Name or Former Address, if Changed Since Last Report)

#### Item 9. Regulation FD Disclosure

#### Item 12. Results of Operations and Financial Condition

The following information is furnished pursuant to Item 9 and Item 12 of Form 8-K.

On October 17, 2003, First Albany Companies Inc. announced its financial results for the quarter ended September 30, 2003. The press release announcing financial results for the quarter ended September 30, 2003 is filed herewith as Exhibit 99.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

First Albany Companies Inc.

Dated: October 17, 2003

/s/ STEVEN R. JENKINS

Steven R. Jenkins  
Chief Financial Officer