

GOTTWALD JOHN D

Form 4

March 25, 2019

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
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(Print or Type Responses)

1. Name and Address of Reporting Person *
GOTTWALD JOHN D

(Last) (First) (Middle)

WESTHAM PARTNERS, 9030
STONY POINT PARKWAY

(Street)

RICHMOND, VA 23235

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

TREDEGAR CORP [TG]

3. Date of Earliest Transaction
(Month/Day/Year)

03/21/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|---|---|--|--|---|
| Tredegar Common Stock | 06/04/2018 | | G | V 500,000 A \$ 0 | 1,887,913 | D | |
| Tredegar Common Stock | | | | | 12,953 | I | Footnote (1) |
| Tredegar Common Stock | | | | | 42,905 | I | Footnote (2) |
| Tredegar Common Stock | | | | | 4,935 | I | Footnote (3) |

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| | | | | | | | | | |
|-----------------------------|------------|--|---|---|---------|---|--------|---|-----------------|
| Stock | | | | | | | | | |
| Tredegar Common Stock | | | | | 90,000 | | I | | Footnote (4) |
| Tredegar Common Stock | | | | | 28,684 | | I | | Footnote (5) |
| Tredegar Common Stock | | | | | 850,928 | | I | | Footnote (6) |
| Tredegar Common Stock | | | | | 142,365 | | I | | Footnote (7) |
| Tredegar Common Stock | | | | | 73,527 | | I | | Footnote (8) |
| Tredegar Common Stock | 06/04/2018 | | G | V | 500,000 | D | \$ 0 0 | I | Footnote (9) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | | |
|---|--|---|---|--------------------------------------|---|--|-----|---|--------------------|-----------------|----------------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount of Number of Shares |
| Stock Option (right to buy) | \$ 18.48 | 03/21/2019 | | A | | 386,026 | | 03/22/2021 | 03/23/2026 | Common Stock | 386,026 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

GOTTWALD JOHN D
WESTHAM PARTNERS
9030 STONY POINT PARKWAY
RICHMOND, VA 23235

X

Signatures

Patricia A. Thomas,
Attorney-In-Fact

03/25/2019

 **Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Owned by wife. (Reporting person disclaims beneficial ownership.)
- (2) Owned by daughter living in household, Margaret Addison Gottwald. (Reporting person disclaims beneficial ownership.)
- (3) Held for Sarah Wren Gottwald U/A dated 3-1-83, W. M. Gottwald and J. D. Gottwald, trustees. (Reporting person disclaims beneficial ownership.)
- (4) Held by Thomas McN. Millhiser and James T. Gottwald, as trustees, of the John D. Gottwald Family Trust, FBO reporting person's children, U/A dated 4-10-92. (Reporting person disclaims beneficial ownership.)
- (5) Held by W. M. Gottwald as trustee FBO Margaret A. Gottwald U/A dated 3-09-92. (Reporting person disclaims beneficial ownership.)
- (6) Held as co-trustee FBO (among others) reporting person's family u/w Floyd D. Gottwald.
- (7) Held as co-trustee of the William M. Gottwald Trust U/A dated 8-16-90. (Reporting person disclaims beneficial ownership.)
- (8) Held by M. N. Gottwald C/F Margaret Addison Gottwald. (Reporting person disclaims beneficial ownership.)
- (9) Held by W. M. Gottwald, trustee of The John D. Gottwald GRAT #3 dated February 26, 2018.
- (10) Total number includes stock options with varying exercisable dates, expiration dates and conversion prices.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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