AES CORP Form 8-K

September 27, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(D) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): September 21, 2017

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#### THE AES CORPORATION

(Exact name of registrant as specified in its charter)

DELAWARE 001-12291 54-1163725

(State or other jurisdiction (Commission File (IRS

Employer Identification

of incorporation) Number)

No.)

4300 Wilson Boulevard, Suite 1100

Arlington, Virginia 22203 (Address of principal executive offices) (zip code)

Registrant's telephone number, including area code: (703) 522-1315

### NOT APPLICABLE

(Former name or former address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company o

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. o

Item Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers;
 5.02 Compensatory Arrangements of Certain Officers.

On September 21, 2017, Fabian Souza announced his resignation from The AES Corporation ("AES") effective November 2, 2017. Mr. Souza has served as AES' Vice-President and Controller since May 2015.

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## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## THE AES CORPORATION

By: /s/ Thomas M. O'Flynn Name: Thomas M. O'Flynn

Title: Executive Vice President and Chief Financial Officer

Date: September 27, 2017

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