

CTI BIOPHARMA CORP
Form 4
August 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Plunkett Matthew

(Last) (First) (Middle)

3101 WESTERN AVENUE, SUITE
600

(Street)

SEATTLE, WA 98121

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CTI BIOPHARMA CORP [CTIC]

3. Date of Earliest Transaction
(Month/Day/Year)

08/04/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify below)

EVP, Corporate Development

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	08/04/2014		S ⁽¹⁾	900 D	\$ 2.445 584,004	D	
Common Stock	08/04/2014		S ⁽¹⁾	1,300 D	\$ 2.45 582,704	D	
Common Stock	08/04/2014		S ⁽¹⁾	1,100 D	\$ 2.455 581,604	D	
Common Stock	08/04/2014		S ⁽¹⁾	2,000 D	\$ 2.46 579,604	D	
Common Stock	08/04/2014		S ⁽¹⁾	600 D	\$ 2.465 579,004	D	

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Common Stock	08/04/2014	<u>S</u> (1)	800	D	\$ 2.47	578,204	D
Common Stock	08/04/2014	<u>S</u> (1)	200	D	\$ 2.48	578,004	D
Common Stock	08/04/2014	<u>S</u> (1)	400	D	\$ 2.485	577,604	D
Common Stock	08/04/2014	<u>S</u> (1)	600	D	\$ 2.49	577,004	D
Common Stock	08/04/2014	<u>S</u> (1)	1,000	D	\$ 2.495	576,004	D
Common Stock	08/04/2014	<u>S</u> (1)	800	D	\$ 2.5	575,204	D
Common Stock	08/04/2014	<u>S</u> (1)	100	D	\$ 2.505	575,104	D
Common Stock	08/04/2014	<u>S</u> (1)	1,500	D	\$ 2.51	573,604	D
Common Stock	08/04/2014	<u>S</u> (1)	100	D	\$ 2.515	573,504	D
Common Stock	08/04/2014	<u>S</u> (1)	300	D	\$ 2.52	573,204	D
Common Stock	08/04/2014	<u>S</u> (1)	300	D	\$ 2.53	572,904	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

