#### CTI BIOPHARMA CORP

Form 4 April 08, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Plunkett Matthew

2. Issuer Name and Ticker or Trading Symbol

CTI BIOPHARMA CORP [ctic]

3. Date of Earliest Transaction

(Month/Day/Year) 04/06/2015

3101 WESTERN AVENUE, SUITE 600

(Street)

(First)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

EVP, Corporate Development

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

SEATTLE, WA 98121

(City)	(State) (	Zip) Tabl	e I - Non-D	erivative	Secur	ities Acqu	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	04/06/2015		S(1)	800	D	\$ 1.86	727,509	D	
Common Stock	04/06/2015		S <u>(1)</u>	800	D	\$ 1.865	726,709	D	
Common Stock	04/06/2015		S <u>(1)</u>	5,300	D	\$ 1.87	721,409	D	
Common Stock	04/06/2015		S <u>(1)</u>	769	D	\$ 1.875	720,640	D	
Common Stock	04/06/2015		S <u>(1)</u>	1,900	D	\$ 1.88	718,740	D	

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Common Stock	04/06/2015	S <u>(1)</u>	2,000	D	\$ 1.885	716,740	D
Common Stock	04/06/2015	S(1)	1,400	D	\$ 1.89	715,340	D
Common Stock	04/06/2015	S(1)	100	D	\$ 1.895	715,240	D
Common Stock	04/06/2015	S(1)	1,600	D	\$ 1.9	713,640	D
Common Stock	04/06/2015	S(1)	100	D	\$ 1.905	713,540	D
Common Stock	04/06/2015	S(1)	1,400	D	\$ 1.91	712,140	D
Common Stock	04/06/2015	S(1)	100	D	\$ 1.915	712,040	D
Common Stock	04/06/2015	S <u>(1)</u>	300	D	\$ 1.92	711,740	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date		Amount of		Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
Security					Acquired						]
	·				(A) or						J
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
					,						
									Amount		
						Date	Expiration		or		
						Exercisable Date	-	Title	Number		
							2 4.0		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Reporting Owners 2

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Plunkett Matthew 3101 WESTERN AVENUE, SUITE 600 SEATTLE, WA 98121

EVP, Corporate Development

## **Signatures**

Louis A. Bianco, Attorney-in-fact for Matthew Plunkett

04/08/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was effected pursuant to a rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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