

SUPERCONDUCTOR TECHNOLOGIES INC
 Form 4
 August 08, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Kopp LeRoy C

2. Issuer Name and Ticker or Trading Symbol
 SUPERCONDUCTOR TECHNOLOGIES INC [SCON]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 8400 NORMANDALE LAKE BOULEVARD, SUITE 1450

3. Date of Earliest Transaction (Month/Day/Year)
 08/06/2013

____ Director
 ____ Officer (give title below)
 10% Owner
 ____ Other (specify below)

(Street)
 BLOOMINGTON, MN 55437

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/06/2013		P		1,111,729	A	<u>1</u> 1,535,290
Common Stock						I	13,000

By Spouse ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount
Term A Common Stock Warrants (right to buy)	\$ 2.57	08/06/2013		P	1,111,729	08/06/2013 08/06/2018	Common Stock 1,111,729
Term B Common Stock Warrants (right to buy)	\$ 2.57	08/06/2013		P	1,111,729	08/06/2013 08/06/2015	Common Stock 555,864

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Kopp LeRoy C
8400 NORMANDALE LAKE BOULEVARD
SUITE 1450
BLOOMINGTON, MN 55437

X

Signatures

John P. Flakne (pursuant to Power of Attorney previously filed)

08/08/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reported securities are included within the 1,111,729 Class A Units (each, a "Unit") of Superconductor Technologies Inc. purchased by the reporting person for \$1.799 per Unit. Each Unit consists of one share of common stock, one Term A warrant to purchase one share of common stock at an exercise price of \$2.57, and one Term B warrant to purchase one-half of one share of common stock at an exercise price of \$2.57.

(2) The filing of this report shall not be deemed an admission that Mr. Kopp is the beneficial owner of these shares for purposes of Section 16 of the Exchange Act of 1934.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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