ICONIX BRAND GROUP, INC.

Form 4 May 02, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

Number:

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Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Schaefer Jason

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

Issuer

Symbol

(Middle)

(Zip)

ICONIX BRAND GROUP, INC.

(Check all applicable)

5. Relationship of Reporting Person(s) to

[ICON]

(Last)

3. Date of Earliest Transaction

below)

10% Owner Other (specify

(Month/Day/Year)

04/28/2016

X_ Officer (give title EVP and General Counsel

C/O ICONIX BRAND GROUP, INC., 1450 BROADWAY

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Director

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10018

(City)

	1	Tuble 1 17011 Delivative Securities required, Disposed 61, 61 Delicitedary 6 when							
2. Transaction Date	2A. Deemed	3. 4. Securities Acquired		5. Amount of	6. Ownership	7. Nature of			
(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect		
•	any	Code	(D)			Beneficially	(D) or	Beneficial	
	(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership	
	•				Following	(Instr. 4)	(Instr. 4)		
				(4)		Reported			
						Transaction(s)			
		Code V	Amount		Price	(Instr. 3 and 4)			
04/20/2017		A (1)	2.066	A .	Φ.Ω	41 404	D		
04/28/2016		$A_{\underline{(1)}}$	3,966	А	\$0	41,404	D		
					•				
04/28/2016		$F_{\underline{(2)}}$	1,839	D	э 8.92	39,565	D		
	(Month/Day/Year) 04/28/2016	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 04/28/2016	2. Transaction Date (Month/Day/Year)	2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (D) (Instr. 8) Code V Amount A(1) 3,966	2. Transaction Date (Month/Day/Year) 2. Transaction Date (Execution Date, if any (Month/Day/Year) (Month/Day/Year) 2. Transaction(A) or Dispose Code (D) (Instr. 8) (Instr. 3, 4 and or Code V Amount (D) 04/28/2016 2. Transaction(A) or Dispose Code (D) (Instr. 8) (A) or Code V Amount (D)	2. Transaction Date (Month/Day/Year) 2. Transaction Date (Execution Date, if any (Month/Day/Year) (Month/Day/Year) 2. Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price 04/28/2016 A \$ 0	2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Execution Date, if any (Month/Day/Year) 2. Transaction (A) or Disposed of Code (D) (Instr. 3, 4 and 5) (A) Owned Following Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price 04/28/2016	2. Transaction Date (Month/Day/Year) 2A. Deemed 3. 4. Securities Acquired (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Code (D) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3) (I	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	e Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities	S		(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	T:41-	or Namel		
						Exercisable	Date		Number		
				C-1- V	(A) (D)				of		
				Code v	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Schaefer Jason C/O ICONIX BRAND GROUP, INC. 1450 BROADWAY NEW YORK, NY 10018

EVP and General Counsel

Signatures

/s/ Ericka Alford, Attorney-In-Fact

05/02/2016

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These represent shares underlying Performance Stock Units ("PSUs") that were granted to the reporting person pursuant to the terms of (1) his employment agreement with the issuer and a related PSU Agreement. On April 28, 2016 these shares were determined to have been earned and they vested on such date.
- (2) Represents surrender of shares to the issuer in payment of tax liability incident to the vesting of PSUs.

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