RR Donnelley & Sons Co Form 3/A October 18, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Gorski Jeffrey G

(Last)

(First)

(Middle)

Statement

10/01/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

RR Donnelley & Sons Co [RRD]

C/O R. R. DONNELLEY & SONS COMPANY, 35 WEST

WACKER DRIVE

(Street)

(Month/Day/Year)

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

10/03/2016

(Check all applicable)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) See Remarks

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

CHICAGO. ILÂ 60601

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Common Stock

7 (1)

D

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Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative

Security:

### Edgar Filing: RR Donnelley & Sons Co - Form 3/A

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options to acquire Common Stock	(2)	03/02/2022	Common Stock	250 (3)	\$ 21.48 <u>(3)</u>	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
Gorski Jeffrey G C/O R. R. DONNELLEY & SONS COMPANY 35 WEST WACKER DRIVE CHICAGO, IL 60601	Â	Â	See Remarks	Â	

# **Signatures**

/s/ Deborah L. Steiner, Deborah L. Steiner, Attorney-In-Fact for Jeffrey G.
Gorski

10/18/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects one for three reverse stock split that was effective on October 1, 2016.
- (2) The options to acquire common stock have vested in whole and are exercisable immediately.

Reporting Person is filing an amendment to his Form 3, which was filed on October 3, 2016, in order to update the number of securities beneficially owned as of the date of the event requiring the statement. This amount reflects 250 options over R. R. Donnelley & Sons Company ("RRD") common stock. The number of options over RRD common stock was adjusted (a) to give effect to the reverse stock split described in note 1 above, and (b) to give effect to the distribution by RRD of 80.75% of the common stock of LSC

Communications, Inc. ("LSC") and Donnelley Financial Solutions, Inc. ("DFS"), which occurred on October 1, 2016 (collectively, the "Spinoffs"). The number of options over RRD common stock was determined pursuant to, with respect to the Spinoffs, an equitable adjustment in the Separation and Distribution Agreement, dated as of September 14, 2016, by and among RRD, LSC and DFS, and with respect to the reverse stock split, pursuant to the RRD 2012 Performance Incentive Plan.

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#### Remarks:

Controller and Chief Accounting Officer

Jeffrey G. Gorski became Controller and Chief Accounting Officer of R. R. Donnelley & Sons Cor

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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