

Stanchak David  
Form 3  
November 28, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Stanchak David  
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)  
11/17/2017

3. Issuer Name and Ticker or Trading Symbol  
RH [RH]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O RH, 15 KOCH ROAD,  
SUITE K

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
See Remarks

CORTE  
MADERA, CA 94925

(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

23,100

I By Trust <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)  
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

## Edgar Filing: Stanchak David - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Unit (RSU)	Â (2)	Â (2)	Common Stock	15,000	\$ (3)	D	Â
Restricted Stock Unit (RSU)	Â (4)	Â (4)	Common Stock	12,000	\$ (3)	D	Â
Stock Option (Right to Buy)	Â (5)	04/22/2025	Common Stock	25,000	\$ 91.69	D	Â
Stock Option (Right to Buy)	Â (5)	04/27/2025	Common Stock	75,000	\$ 90.92	D	Â
Stock Option (Right to Buy)	Â (6)	04/20/2026	Common Stock	35,000	\$ 44.52	D	Â
Stock Option (Right to Buy)	Â (7)	06/26/2026	Common Stock	20,000	\$ 25.39	D	Â
Stock Option (Right to Buy)	Â (8)	08/28/2027	Common Stock	20,000	\$ 45.21	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stanchak David C/O RH 15 KOCH ROAD, SUITE K CORTE MADERA, CA 94925	Â	Â	Â See Remarks	Â

## Signatures

/s/ Edward T. Lee as  
Attorney-In-Fact

11/28/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by Cynthia Stanchak, as trustee of the Living Water II Trust.
- (2) This restricted stock unit award vested with respect to 20% of the units on each of April 22, 2016 and April 22, 2017, and the remaining 60% of the units will vest with respect to 20% annually on each of April 22, 2018 through 2020, subject to continuous service.
- (3) Each restricted stock unit represents a contingent right to receive one share of RH common stock.
- (4) This restricted stock unit award vested with respect to 20% of the units on June 16, 2017, and the remaining 80% of the units will vest with respect to 20% annually on each of June 16, 2018 through 2021, subject to continuous service.
- (5) This option award vested and became exercisable with respect to 20% of the options on each of April 22, 2016 and April 22, 2017, and the remaining 60% of the options will vest with respect to 20% annually on each of April 22, 2018 through 2020, subject to continuous service.

### Edgar Filing: Stanchak David - Form 3

- (6) This option award vested and became exercisable with respect to 20% of the options on April 21, 2017, and the remaining 80% of the options will vest with respect to 20% annually on each of April 21, 2018 through 2021, subject to continuous service..
- (7) This option award vested and become exercisable with respect to 20% of the options on June 27, 2017, and the remaining 80% of the options will vest a with respect to 20% annually on each of June 27, 2018 through 2021, subject to continuous service.
- (8) This option award will vest and become exercisable with respect to 20% of the options annually on t with respect to 20% annually on each of August 29, 2018 through 2022, subject to continuous service.

Â

**Remarks:**

President,Â ChiefÂ RealÂ EstateÂ &Â DevelopmentÂ Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.