

French Tracy
Form 4
October 23, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
French Tracy

2. Issuer Name **and** Ticker or Trading
Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
10/19/2018

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Centennial Bank CEO/ President

P.O. BOX 966

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/19/2018		P	2,000 A	\$ 19.247 112,601	D	
Common Stock	10/19/2018		P	300 A	\$ 19.25 112,901	D	
Common Stock	10/19/2018		P	100 A	\$ 19.2418 113,001	D	
Common Stock	10/19/2018		P	100 A	\$ 19.2441 113,101	D	
Common Stock - Performance					100,000 ⁽¹⁾ ⁽²⁾	D	

Edgar Filing: French Tracy - Form 4

Based

Common

Stock -

Restricted

16,667 ⁽³⁾ ⁽⁴⁾ D

Common

Stock

29,222 I IRA

Common

Stock

43,857.2993 ⁽⁵⁾ I 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Performance Stock Option	\$ 23.32					⁽⁶⁾ 07/19/2028	Common Stock	150,000
Stock Option	\$ 8.62					⁽⁷⁾ 01/17/2023	Common Stock	40,000
Stock Option	\$ 9.54					⁽⁸⁾ 04/17/2023	Common Stock	40,000
Stock Option	\$ 14.71					⁽⁹⁾ 01/15/2025	Common Stock	100,000
Stock Option	\$ 18.46					⁽¹⁰⁾ 08/23/2025	Common Stock	150,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Reporting Owners

Edgar Filing: French Tracy - Form 4

French Tracy
P.O. BOX 966
CONWAY, AR 72033

X

Centennial Bank CEO/ President

Signatures

/s/ Tracy French by Micah
Osborne

10/23/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Performance Stock awarded on July 19, 2018 will vest in 33 1/3% installments over three years beginning on the third annual anniversary of the date that the performance goal is met.
- The Performance Stock awarded on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third annual anniversary of the date that the performance goal is met. The performance goal was met on December 31, 2016 and will therefore begin vesting in 33 1/3% installments on December 31, 2019.
- (2) Restricted Stock granted on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third anniversary of the award date. The first installment vested on August 24, 2018.
- (3) Restricted Stock granted on January 17, 2014 will "cliff" vest 100% three years from award date.
- Reflects the dollar value of the reporting person's units in a unitized stock fund under the Home BancShares, Inc. 401(k) Plan as of
- (5) October 22, 2018, divided by the closing price of Home BancShares common stock on that date. The unitized stock fund consists of Home BancShares common stock and a reserve of cash in amounts that vary from time to time.
- (6) The Performance Stock Option awarded on July 19, 2018 is exercisable in five equal annual installments beginning on the first annual anniversary of the date that the performance goal is met.
- (7) The option is exercisable in five equal annual installments. The first installment became exercisable on January 16, 2016.
- (8) The option is exercisable in five equal annual installments. The first installment became exercisable on January 18, 2014.
- (9) The option is exercisable in five equal annual installments. The first installment became exercisable on April 18, 2014.
- Once the performance goal has been met, the Performance Stock Option awarded on August 24, 2015 is exercisable in seven equal
- (10) annual installments beginning on the first annual anniversary of the award date. The performance goal was met on December 31, 2016. Therefore, the first installment became exercisable on August 24, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.